



INDIAN Polity

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AWSM NOTES

ANALYSIS: SYLLABUS AND PREVIOUS YEARS PAPERS

- 1. Constitution of India: Historical underpinning, evolution, salient features
 - Introduction to constitution, Parts and Schedules
 - Evolution of Constitution: Company Rule(1773-1858) Company Rule(1858-1947)
 - Composition and Role of Constituent Assembly and Major Committees
 - Objective Resolution and Preamble and their significance
 - Salient Features: Various Sources of Constitutions, Parliamentary government, Separation of Powers, Unitary and Federal Features
- 1. What are the philosophical moorings of Indian Constitution? (2016, 4Marks)
- 2. What is Parliamentary Sovereignty? Do you think Indian Parliament is Sovereign? (2016, 8Marks)
- 3. Describe the basic philosophy of Indian Constitution. Is it relevant in the era of globalization? (2017, 8 Marks)
- 4. Describe the basic philosophy of Indian Constitution. Is it relevant in the era of globalization? (2018, 8 Marks)
- 5. "Indian Constitution is federal in form, but unitary in spirit." Comment. (2018, 8 Marks)
- 6. Explain why India is called a Quasi federal state. (2019, 4 Marks)
- 7. Describe the basic tenets of preamble of Indian Constitution. (2020, 4 Marks)

2. Significant provisions including Fundamental Rights, Directive Principles of State Policy, Fundamental Duties.

- Union and Its Territories
- Citizenship and Citizenship Act 1955
- Fundamental Rights
- Restrictions on Fundamental Rights, Martial Law
- * Directive Principles of State Policy, and various legislation and schemes for its Implementation
- Fundamental Duties
- Critical appraisal of FRs, DPSPs and FDs
- 1. Discuss the increasing scope of 'Right to Life' of Indian citizens. (2017, 4 Marks)
- 2. Analyse the Directive Principles of the State Policy regarding international peace as given in the Indian Constitution. (2020, 4 Marks)
- 3. Discuss in detail about the Right to Equality given in the Indian constitution. (2021, 4 Marks)
- 4. Describe the Supreme Court's judgment on the 103rd constitutional amendment. (2021, 4 Marks)
- 5. Explain the right to constitutional remedies given in the constitution. (2022, 4Marks)
- 6. Describe about the Article realted to promotion of international peace and security given in the Constitution. (2022, 4 Marks)
- 7. Describe how Fundamental rights and DPSPs are complementary to each other. (2022, 8 Marks)
- 3. Amending process and important Constitutional Amendments and theory of basic structure.
 - ✤ Theory of Basic Structure, Debate, Significance, Criticism.
 - Judicial Review
 - Amending process and important Constitutional Amendments

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- 1. What do you know about 'basic structure theory of constitution of the constitution' as enunciated by the Supreme Court of India ? (2017, 4 marks)
- 2. What is Judicial activism? Has it limited the powers of executive in India? (2017, 8 Marks)
- 3. What is the basic structure theory regarding amendment of Indian constitution? (2018, 4 Marks)
- 4. What do you mean by Judicial Activism in India? (2019, 4 Marks)
- 5. Examine the power of judicial review as enjoyed by Supreme Court of India. (2019, 8Marks)
- 6. Discuss the amendment procedure of the Indian Constitution. (2020, 4 Marks)
- 7. Critically examine about the basic structure theory of Indian Constitution. (2020, 8 Marks)
- 8. Describe about the power of Judicial Review in India. (2021, 4Marks)
- 9. Discuss about the "Basic Structure" theory of the Indian constitution. (2021, 4Marks)
- 4. Functioning of the Union and State Executive
 - Union Executive(President, Vice President, and PM-COM, Attorney General)
 - Emergency Provisions and Powers of President
 - State Executive and Functions (Governor, CM-COM, Advocate General)
- 1. What are the discretionary powers of the Governor of a State? (2016, 4Marks)
- 2. Describe the emergency powers of the Indian President. (2017, 4 Marks)
- 3. Describe the emergency powers of the Indian President. (2018, 4Marks)
- 4. Discuss about the Emergency powers of the Indian President? (2019, 4 Marks)
- 5. Critically analyse the election process of Indian President (2020, 8 Marks)
- 6. Discuss about the emergency powers of the Indian President. Can he Become dictator? (2022, 8Marks)
- 5. Parliament and State legislatures, Structure, Organization
 - Composition of Lok Sabha and Rajya Sabha, State legislature and State Legislative Councils
 - ✤ Office of Speaker, Deputy Speaker, Chairman, Deputy Chairman
 - Protem Speaker, Whip, Oath Removal, Disqualifications, Anti Defection Laws
 - * Working of Parliament: Sessions, Sittings, Question Hour, Zero Hour, Motions, Quorum
 - ✤ Types of Bills and Procedure of passing them.
 - Legislation Process in State Legislatures
 - Annual Financial Statement or Budgeting Process
 - Parliamentary Committees on Finance, Role of CAG
- 1. What is token cut motion? (2016, 4 Marks)
- 2. Describe the procedure of how a bill becomes an act. (2019, 4 Marks)

6. Functioning of the Union and State Judiciary

- Supreme Court and High Courts, Subordinate courts
- Tribunals
- 7. Functions and responsibilities of Union and States, issues and challenges pertaining to the federal structure
 - Centre State relations (Legislative, Administrative and Financial)
 - ✤ Inter-state Council, Interstate River Disputes Act, GST Council, Finance Commission
 - Union Territories
 - Scheduled and Trbal Areas

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- 1. Financial relations between the union and the States is one of the key areas of contention in Indian federation. Examine. (2016, 20 Marks)
- 2. Since 1990 theoretically Indian policy has been that it is moving in the direction of political decentralization and economic centralization. Analyse its impact on the working of Indian Policy. (2017, 20 Marks)
- 3. Since 1990, paradox of Indian polity has been that it is moving in the direction of political decentralization and economic centralization. Comment. (2018, 20 Marks)
- 4. Analyze the problem of Centre State relations in India. (2019, 8 Marks)
- 5. Examine the tension areas in Centre-State financial relations in India. (2020, 8Marks)
- 6. Describe the current status of Jammu and Kashmir after abolition of Article 370. (2022, 4Marks)
- 7. In the post globalization Era, Economic Centralisation and Polical Decentralisation have created Paradox in the working of Indian Polity. (2022, 20 Marks)

8. Devolution of powers and finances up-to local level and challenges therein.

- Evolution of Panchayati Raj,
- ✤ 73rd Amendment Act, Part IX and schedule XI
- * 74th Amendment Act, Municipality and Urban Local Governments
- ✤ Co-operative Societies
- 1. What are the voluntary provisions of the 73rd constitutional Amendment? (2016, 8 Marks)
- 2. Explain the role of Gram Sabha in the Panchayati Raj System in India (2017, 4 Marks)
- 3. Autonomy of local self-governing institutions is still a distant dream in India. Explain. (2017, 8Marks)
- 4. Discuss major causes of failure of Panchayati Raj in India. (2018, 4 Marks)
- 5. Discuss the essential characteristics of Local Government. (2019, 4 Marks)
- 6. Municipal Governance in India is facing serious challenges post 74th Constitutional Amendment. Examine. (2019, 8 Marks)
- 7. The 73rd Constitutional Amendment has resulted in genuine devolution of powers and resources to the Panchayati Raj Institutions (PRIs) in India. Discuss. (2019, 8 marks)
- 8. Give your arguments for and against the 'prescribed minimum educational qualification for representatives of Panchayati Raj Institutions.' (2020, 8Marks)
- 9. What are the main hindrances in the way of smooth functioning of rural local self-governing bodies in India? (2021, 4Marks)
- 10. Electoral Process and Salient features of the Representation of People's Act.
 - ✤ RPA 1950
 - ✤ RPA 1951
 - Election Commission of India
 - Political Parties
 - Anti-Dection Laws
- 1. What do you mean by the regionalization of Indian Politics in the 1990s? (2018, 4Marks)
- 2. Describe the powers of Election Commission in India (2018, 4Marks)
- 3. Describe the electoral reforms required for the smooth functioning of India democracy. (2019, 20 Marks)
- 4. Critically analyse the politics of reservations in India. Has it really benefitted the marginalized strata? (2020, 20 Marks)
- 5. Analyze the problems of politics of defection in India. (2021, 8Marks)
- 6. Explain the trend of regionalization of Indian Politics for a better understanding of the current political scenario. (2021, 20 Marks)

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- **1.** Appointments to various constitutional posts, powers, functions and responsibilities of various constitutional bodies in India.
 - Attorney General and Advocate General
 - UPSC, SPSC and Joint PSC
 - Special Linguistic Officer
 - National Commission for SC, ST and backward classes
 - Statutory Bodies: Human Rights, Women, Child Rights, Minorities, CVC, CBI
- 1. What is Parivarik Mahila Lok Adalat (PMLA)? (2016, 4 Marks)
- 2. National security is of paramount importance for any nation. Discuss about the organizational structure of National Security Council (NSC) in India. (2019, 4 Marks)
- 3. Discuss the composition and functions of Central Council of Local Government. (2020, 4Marks)
- 4. Describe the role of 'Central Social Welfare Board' for the welfare of women. (2020, 8 marks)
- 5. Discuss about the composition, power, functions and role of National Commission for Women in India. (2022, 8Marks)

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QUESTION BANK

- 1. What are the basic philosophy of Indian constitution?
- 2. Preamble is a key to the minds of the makers of the Constitution. Explain.
- 3. Differentiate between 'equality before the law' and 'equal protection of the laws'.
- 4. Examine the procedure for the amendment of the constitution.
- 5. Indian Constitution is a patchwork. Comment.
- 6. The Directive principles and the fundamental rights work parallel for good governance in the country. Examine.
- 7. "Political democracy cannot last unless there lies at its base Social Democracy". Critically examine the statement.
- 8. What do you understand by the concept of Welfare State? Explain it in the context of Directive Principles of State Policy (DPSP) as enshrined in the Indian Constitution.
- 9. Why the ideals of socialism and secularism were explicitly added to the constitution? What do these ideals mean and how have these been reflected in the Constitution?
- 10. Discuss the merits and demerits of bringing the Uniform Civil Code in India.
- 11. Why the rights enshrined in Part III are called as Fundamental Rights?
- 12. Examine the Doctrine of separation of power in Indian Constitution.
- 13. How did the doctrine of the basic structure of the Constitution emerge and evolve in India?
- 14. Examine right to life in the constitution of India?
- 15. Discuss the constitutional provisions related with declaration of emergency in India.
- 16. Discuss the impact of the proclamation of National Emergency on Fundamental Rights in India. Also, highlight the various Supreme Court judgments in this context.
- 17. Explain why the Indian Constitution has been argued to have created a 'federation with a centralising tendency'
- 18. The Union list prevails over the other lists in terms of distribution of legislative powers in the India Constitution. Discuss.
- 19. What do you understand by the concept of Judicial Activism? Do you think that it violates the principle of separation of powers? Justify your stance with appropriate examples.
- 20. What do you understand by the term Judicial Review? Discuss the significance of the power of Judicial Review with courts in the context of Indian polity.
- 21. There are multiple tension areas in centre state relations. Critically analyse the statement in the context of Indian federalism.
- 22. The Governor plays an important role in the federal structure of the Indian polity. Critically analyse the above statement.
- 23. Analyse the issues associated with Collegium System in relation to judicial appointments.
- 24. Discuss the role of parliamentary committees in ensuring financial accountability of the executive.
- 25. Give an account of the composition and functions of the Finance Commission. 32. Assess the role of the Finance Commission in maintaining fiscal federalism in India.
- 26. What is the importance of Local Self Governments in a Democracy such as India? Critically Examine the implementation of 73rd and 74th Constitutional Amendment in the constitution after 30 years of its enactment.
- 27. Discuss the effectiveness of Representation of People's Acts for the smooth conduct of elections and in creating a meaningful democracy.
- 28. The Representation of People Act holds the key to improving the electoral system in India. Discuss the important contemporary issues in this context.
- 29. The Election Commission of India has a vital role in building a robust framework of electoral democracy. Highlighting challenges associated with the functioning of ECI suggests measures to reform the body.
- 30. What is your view on "one nation one election"? Justify with suitable arguments.
- 31. Do simultaneous elections compromise democracy and federalism? Analyze.
- 32. The anti-defection law was enacted to bring stability to governments but sometimes it acts against the true spirit of democracy. Critically discuss.
- 33. What are the key issues associated with electoral funding in India? How far do you think the idea of state funding

AWSM NOTES

of elections can address these issues?

- 34. Criminalisation of politics is a threat to Indian democracy. Critically analyse. What are the measures taken by Indian government in this regard and also suggest some possible solutions.
- 35. The position of Legislative Councils in State Legislatures is much weaker than the Rajya Sabha in Parliament. Analyse.
- 36. Enumerate the composition and functions of the National Commission for Women (NCW). Also, highlight the initiatives taken by the Commission to give an impetus to women empowerment.
- 37. 'Even after 75 years of independence, women's representation in Lok Sabha has not increased much.' Discuss the reasons and suggest measures to increase women representation in Parliament.
- 38. Under what circumstances can the Financial Emergency be proclaimed by the President of India? What consequences follow when such a declaration remains in force?
- 39. Examine the role of the Finance Commission in maintaining the fiscal equilibrium in the country.
- 40. Compare the powers of the Lok Sabha with that of the Rajya Sabha
- 41. The Governor of a state enjoys more discretionary power than the president of India. Elaborate and explain the rationale behind this constitutional arrangement.
- 42. The office of CAG plays an important role in securing financial accountability. Discuss.
- 43. Explain the role of Lokpal and Lokayuktas as anti-corruption watchdogs.

44. 45.

AWSM NOTES

OVERVIEW OF THE INDIAN CONSTITUTION

Adopted on January 26, 1950, the Indian Constitution represents India's transition from a colonial territory to a sovereign republic. It serves as the foundational document governing the democratic process of the world's second-most populous nation, embracing the country's diverse nature and guiding it towards a unified purpose.

Constitutional Pillars

1. Embodying Resilient Diversity

- Unity in Diversity: The Constitution represents India's diverse languages, religions, traditions, and cultures.
- **Unifying Force**: Acts as a binding element, creating cohesion across the nation's multifaceted diversity.

2. Safeguarding Rights and Freedoms

- * Fundamental Rights: Protects individual rights and freedoms against any governmental overreach.
- Justice and Fairness: Ensures freedom, justice, and fairness for all citizens, irrespective of the government's agenda.

3. Historical Roots

- Colonial Foundations: Originates from legislative developments during British colonial rule.
- Predecessor Acts: The Montagu-Chelmsford Reforms of 1919 and the Government of India Act of 1935 were instrumental in shaping the Constitution.

4. Genesis of Democratic Rights

- * Universal Protections: Provides democratic rights to all individuals, including non-citizens.
- Democratic Evolution: Reflects democratic values and institutions that evolved during the colonial era and influenced the Indian National movement.

Historical Underpinnings of the Indian Constitution

The Indian Constitution, adopted in 1950, is a synthesis of various historical influences. Its development reflects:

1. Indigenous Traditions

- Ancient Texts: Influenced by Vedas, Upanishads, and Dharmashastras on governance and rights.
- Non-Violence and Equality: Buddhist and Jain teachings on tolerance and equality, and the Bhakti movement's emphasis on individual liberty.

2. Colonial Experiences

- * Western Ideas: Adoption of Western concepts of liberty, democracy, and constitutionalism.
- Legislative Reforms: The Montagu-Chelmsford Reforms and Government of India Act of 1935 laid the groundwork for the Constitution.

3. Western Political Thought

- * Liberal Democracy: Inspired by American and French Constitutions and British parliamentary system.
- Separation of Powers: Incorporation of ideas related to governance and checks and balances.

4. Indigenous Thought

- Socialist Influence: Integration of socialist principles aimed at social and economic equality.
- Gandhian Inspirations: Reflections of Gandhian ideals in the Directive Principles of State Policy.

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Its Influence on the Evolution of the Indian Constitution

- 1. Constituent Assembly
 - Diverse Perspectives: Members from various regions and communities contributed their perspectives.
 - * Historical Influences: Debates reflected India's historical experiences and diverse traditions.

2. Fundamental Rights

- * Individual Liberty: Rights influenced by ancient texts and the Bhakti movement's principles.
- Social Justice: Emphasis on equality and non-discrimination.

3. Cultural and Educational Rights

* Diverse Fabric: Rights to freedom of religion and cultural preservation reflect India's varied social fabric.

4. Directive Principles of State Policy

- Gandhian and Socialist Ideals: Reflects post-independence aspirations and incorporates Gandhian and socialist thoughts.
- 5. Constitutional Amendments
 - ✤ Local Governance: The 73rd and 74th Amendments of 1992 enhanced local governance, drawing from ancient Panchayati Raj traditions.

Conclusion

The Indian Constitution mirrors India's rich social and political history. It integrates indigenous traditions, colonial experiences, and Western political ideas, evolving through amendments to meet contemporary challenges. As a living document, it continues to embody India's democratic and pluralistic ethos.

Indian Constitution: A patchwork?

The Indian Constitution, recognized as the longest written constitution in the world, incorporates various provisions from global sources. However, it is important to understand that it is not a mere copy-paste job but a meticulously crafted document reflecting India's unique context.

Borrowed Provisions

- 1. Government of India Act, 1935
 - * Federal Scheme: Incorporates a federal structure with a strong center.
 - * Office of Governor: Defines the role and powers of the governor.
 - ✤ Judiciary: Adopts features related to the judiciary.
 - Public Service Commissions: Establishes provisions for public service.
 - * Emergency Provisions: Includes mechanisms for national emergencies.

2. British Influence

- * Parliamentary Government: Adopts the parliamentary system of governance.
- **Rule of Law**: Ensures that the law applies equally to all.
- **Classifier Procedure:** Follows the legislative processes of Britain.
- * Single Citizenship: Grants Indian citizenship irrespective of state.
- **Cabinet System**: Implements the cabinet system of governance.
- 3. Ireland
 - * Directive Principles of State Policy: Incorporates guidelines for state policy.
 - * Rajya Sabha Nomination: Similar to the nomination of members to the Irish Senate.

AWSM NOTES

- **Presidential Election Method**: Follows a similar process for electing the president.
- 4. United States of America
 - * Impeachment and Removal: Adopts processes for impeachment and removal of the President and judges.
 - * Fundamental Rights: Includes a bill of rights similar to the US Constitution.
 - * Judicial Review: Establishes the power of judicial review.
 - * Independence of Judiciary: Ensures an independent judiciary.
 - **Preamble**: Inspired by the US Preamble.
- 5. Canada
 - * Federation with a Strong Centre: Emphasizes a strong central authority.
 - **Residuary Powers**: Grants residuary powers to the center.
 - State Governors: Appoints state governors from the center.
 - * Advisory Jurisdiction: Establishes the advisory role of the Supreme Court.
- 6. Australia
 - Concurrent List: Adopts the concept of shared powers between central and state governments.
 - * Freedom of Trade and Commerce: Ensures freedom of inter-state trade.
 - Solution Sitting of Parliament: Provides for a joint session of both Houses of Parliament.
- 7. Soviet Union (USSR)
 - * Fundamental Duties: Incorporates duties similar to those in the Soviet Constitution.
 - ✤ Ideal of Justice: Reflects ideals of social, economic, and political justice.
- 8. Other Influences
 - ✤ Japan, France, South Africa: Various ideas and principles from these countries have also influenced the Indian Constitution.

Arguments Against the 'Copy-Paste' Criticism

- 1. Contextual Adaptation
 - Custom Modifications: Features from other constitutions were adapted to fit Indian conditions, avoiding direct replication.
 - * Innovative Borrowing: The framers innovatively adapted ideas rather than copying them verbatim.
- 2. Codification and Integration
 - * Codification: The Constitution consolidates existing laws and values into a single document.
 - ✤ Integration: Combines western and traditional Indian values into a hybrid system, creating a unique framework.
- 3. Reflecting Indian Values
 - Ancient Concepts: Many concepts like equality, fraternity, and democracy have roots in Indian history and scriptures.
 - Selective Adaptation: The Constitution reflects a selective and thoughtful adaptation of global ideas rather than a mere reproduction.

4. Rigorous Deliberation

- **Extensive Study**: The drafting involved over two years of study and discussion.
- Creative Adaptation: Efforts were made to tailor each provision to Indian circumstances, reflecting a thorough and creative approach.

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5. Unique Features

Distinct Elements: For instance, the Indian system of governance includes unique features such as the President as Head of State and Commander-in-Chief, which are not present in the British Constitution.

Conclusion

The Indian Constitution, while borrowing elements from various global sources, is far from a simple copy-paste document. It represents a sophisticated and deliberate amalgamation of ideas suited to India's diverse and complex society. The extensive and thoughtful adaptation process undertaken by the framers has resulted in a constitution that reflects both international best practices and Indian values, ensuring its relevance and functionality in guiding the world's largest democracy.

Role of the Constituent Assembly

The Constituent Assembly of India played a crucial role in shaping the country's democratic framework. Here's a concise overview of its formation, functions, and criticisms:

Formation and Functions

1. Origins and Establishment

- The idea of a Constituent Assembly was first proposed by M.N. Roy.
- The British government accepted the demand through the August Offer of 1940.
- Established under the Cabinet Mission Plan, the first meeting occurred on December 9, 1946.

2. Sessions and Duration

- The Assembly held 11 sessions over a period of two years, 11 months, and 18 days.
- Also acted as the temporary legislature until a new one was constituted.

3. Key Functions

- Ratified India's membership of the Commonwealth.
- ✤ Adopted the national flag, anthem, and song.
- Elected Dr. Rajendra Prasad as the first President of India.
- 4. Major and Minor Committees
 - Dr. B.R. Ambedkar chaired the Drafting Committee, which was pivotal in drafting and passing the Constitution.

5. Adoption and Commencement

- The Constitution was adopted on November 26, 1949.
- Most provisions came into force on January 26, 1950, referred to as the 'date of its commencement'.

Criticisms of the Constituent Assembly

1. Representation Issues

- Members were not directly elected by the populace.
- Established based on a British order.

2. Process and Dominance

- The drafting process was lengthy took very long time.
- Predominantly dominated by the Congress Party and lawyer-politicians.
- Predominantly Hindu members, raising concerns about representation.

AWSM NOTES

Counterpoints

1. Representation

♦ Despite indirect election, the Assembly included members from various sections of Indian society.

2. Process Justification

 Considering India's diverse and complex context, the time spent was reasonable for creating a robust Constitution.

3. Secularism and Inclusivity

The Constitution incorporates secular principles and does not favor any particular religion, reflecting its commitment to inclusivity and sustainability.

In summary, while the Constituent Assembly faced criticisms, it played an essential role in drafting a Constitution that laid the foundation for India's democratic governance, balancing various interests and upholding secular and inclusive values.

Analysis of Quasi-Federal Nature

Federalism is a system of governance that divides powers between a central authority and constituent political units. In India, this principle is enshrined in the Constitution, which describes the nation as a "Union of States." However, the Indian Constitution blends federal and unitary features, creating a unique quasi-federal structure. According to K.C. Wheare, India's federalism is not purely federal but quasi-federal, reflecting a balance between centralized and decentralized governance.

Federal Features Analysis:

- 1. **Dual Governments:** The existence of both Union and State governments allows for a distribution of responsibilities, where states manage local issues while the Union handles national matters. This distribution helps address regional diversity and local needs more effectively.
- 2. Division of Powers: The Seventh Schedule categorizes powers into Union, State, and Concurrent Lists. This division ensures that both levels of government have clearly defined areas of authority, which helps in reducing conflicts and overlapping jurisdictions. However, the concurrent list can lead to dispute between Union and State laws, requiring judicial intervention.
- **3.** Constitutional Supremacy: The judiciary's role in safeguarding the basic structure of the Constitution reinforces stability and continuity. It prevents any alteration that might undermine the core principles of federalism. This ensures that fundamental rights and principles are consistently protected.
- 4. Partial Rigidity: The challenging amendment process safeguards the Constitution from frequent changes and maintains stability. However, it can also make it difficult to adapt to new circumstances or correct outdated provisions, potentially impeding necessary reforms.
- 5. Independent Judiciary: An independent judiciary acts as a neutral arbiter in disputes between the Union and State governments. It upholds the rule of law and ensures that both levels of government operate within their constitutional limits.
- 6. Bicameralism: Bicameralism ensures that both the states (through the Rajya Sabha) and the people (through the Lok Sabha) have a say in the legislative process. This structure aims to balance regional interests with national priorities, though it can also lead to legislative gridlocks.

Unitary Features:

- 1. Strong Centre: The central government's enhanced powers during emergencies allow for a unified response to national crises. This centralization ensures that the country can respond effectively in times of threat or disaster, though it may sometimes overshadow state autonomy.
- 2. Emergency Provisions: These provisions allow the central government to assume greater control in emergencies, which helps in maintaining national integrity. However, they can be viewed as infringing on states' autonomy and could be misused for political purposes.

AWSM NOTES

- **3.** Article 365: This article ensures that states adhere to central directives, reinforcing central authority. While it promotes uniformity in governance, it may undermine states' ability to govern according to local needs.
- 4. Single Constitution: A single Constitution for all states promotes uniformity and legal consistency across the country. It simplifies the legal framework but also limits states' ability to have tailored laws that address local specificities.
- 5. Single Citizenship: Single citizenship fosters national unity and a sense of common identity. It simplifies administrative processes but eliminates regional citizenship distinctions, which might otherwise cater to local identities.
- 6. Constitutional Flexibility: The Constitution's adaptability allows for amendments in response to changing circumstances. This flexibility supports progressive reforms but also requires careful balancing to prevent potential misuse.
- 7. Integrated Judiciary: A unified judiciary ensures uniform application of laws and resolves disputes between the Union and States. This integration helps in maintaining consistency but can also centralize judicial power, potentially affecting regional judicial autonomy.
- 8. Central Appointments: Central appointments like governors provide a link between the Union and State governments, facilitating oversight and coordination. However, these appointments can be controversial if perceived as politically motivated.
- **9.** All India Services: Central services with state responsibilities promote administrative cohesion and uniform standards across states. They bridge the gap between state and central administration but can sometimes lead to conflicts over jurisdictional authority.

Characteristics Indicating Quasi-Federalism:

- 1. Single Constitution: No separate state constitutions; a single Constitution governs all states.
- 2. Amendment Process: Only the Union Parliament has the authority to amend the Constitution, limiting state powers in constitutional changes.
- 3. Judicial Supremacy: The judiciary has the power to invalidate actions that contravene the Constitution.
- 4. **Power Distribution:** The Constitution balances the distribution of powers between local and national levels, promoting both local governance and national cohesion.

Conclusion: The Indian Constitution is a unique blend of federal and unitary elements, creating a quasi-federal structure tailored to India's diverse and complex needs. While it incorporates key federal features to support decentralized governance, it also includes unitary provisions that ensure a strong central authority. This hybrid approach allows India to effectively manage its vast and varied political landscape, making its constitutional framework sui generis in the context of federalism.

Importance of Fundamental Rights

Fundamental Rights, enshrined in Part III of the Indian Constitution, are crucial elements designed to protect individual liberties and ensure justice. As described by Dr. B.R. Ambedkar, they form a vital part of the Constitution, reflecting the universal principles of human rights and emphasizing the importance of safeguarding individual dignity and freedom.

Importance of Fundamental Rights:

- 1. **Protection of Human Dignity:** Fundamental Rights are crafted to uphold the dignity of individuals and create conditions conducive to personal development. They aim to provide every person with the opportunity to develop their personality to the fullest extent, ensuring respect and equality.
- 2. Guaranteed Human Rights: These rights establish a guaranteed framework for basic human rights, imposing negative obligations on the state. This means the state is restrained from interfering with individual liberties, thus safeguarding freedoms in various aspects of life.
- **3.** Intellectual and Moral Development: Fundamental Rights are crucial for individuals to achieve their full intellectual, moral, and spiritual potential. By protecting freedoms such as speech, expression, and religion, these rights enable individuals to grow and contribute effectively to society.

AWSM NOTES

- 4. Government of Law: The inclusion of Fundamental Rights in the Constitution ensures that governance is based on law rather than individual discretion. This principle is foundational to a just and fair society, where laws apply uniformly and protect the rights of all citizens.
- 5. Protection Against Government Power: Fundamental Rights are essential for protecting citizens from potential abuses of power by the government. They serve as a check on the government's authority, ensuring that the rights and liberties of individuals are not infringed upon.
- 6. **Prevention of Authoritarianism:** By safeguarding individual liberties, Fundamental Rights help prevent the rise of authoritarian and dictatorial regimes. They are instrumental in maintaining democratic principles and ensuring that governance remains accountable and transparent.
- 7. Integration and Educational Value: These rights are not only about protecting individual freedoms but also about integrating individuals into society with an understanding of their roles and responsibilities. They incorporate educational values that help citizens appreciate the significance of their rights and duties within the community.
- 8. Legal Enforcement and Rule of Law: The Constitution provides mechanisms for the enforcement of Fundamental Rights, giving them legal weight. This ensures that citizens can seek redressal and hold the state accountable, thus upholding the rule of law, equality, and national unity.

Fundamental Rights protect individuals from state overreach, foster personal and societal development, and uphold democratic values. By guaranteeing these rights and providing legal mechanisms for their enforcement, the Constitution ensures a fair and just society, where individual freedoms are respected and protected.

Rationale Behind Article 32: The Heart and Soul of the Constitution

Article 32 of the Indian Constitution, often referred to by Dr. B.R. Ambedkar as the "heart and soul" of the Constitution in parliamentary debates, is pivotal in safeguarding the Fundamental Rights of citizens. This article provides a crucial mechanism for the enforcement of these rights, reflecting its central role in maintaining constitutional governance and individual liberties.

- 1. Doctrine of "Ubi Jus Ibi Remedium": Article 32 embodies the legal principle "Ubi Jus Ibi Remedium," which translates to "where there is a right, there is a remedy."
- 2. Direct Access to the Supreme Court: This provision allows for immediate redressal without the need to exhaust other legal remedies, ensuring swift justice.
- 3. Defender and Guarantor Role of the Supreme Court: This role emphasizes the Court's responsibility to uphold the Constitution and ensure that individual rights are protected against violations.
- 4. Constitutional Remedies Through Writs: Article 32 equips the Supreme Court with the authority to issue various writs (Habeas Corpus, Mandamus, Prohibition, Quo Warranto, and Certiorari) to enforce Fundamental Rights. These writs are powerful tools that provide immediate relief and are critical for maintaining the rule of law.
- 5. Role of High Courts Under Article 226: Similarly, Article 226 empowers High Courts to issue writs for the enforcement of Fundamental Rights. This dual mechanism of Supreme and High Courts ensures comprehensive protection and effective remedy for violations at both central and state levels.
- 6. Parliament's Power to Empower Other Courts: This flexibility helps in decentralizing the enforcement of rights and expanding access to justice.
- 7. Basic Structure Doctrine: The Supreme Court has established through the Basic Structure Doctrine that the right to move to the Supreme Court under Article 32 cannot be suspended except as specifically provided by the Constitution. This doctrine underscores the fundamental importance of Article 32 in protecting constitutional rights.

Article 32 is fundamental to the Indian Constitution as it provides an essential mechanism for the enforcement of Fundamental Rights. Its role in ensuring immediate and effective remedies underscores its designation as the "heart and soul" of the Constitution, reflecting its central importance in upholding democratic values and individual liberties in India.

Complementarity of Directive Principles of State Policy and Fundamental Rights

The Indian Constitution establishes a framework for governance that balances individual rights with broader socioeconomic goals. This balance is achieved through Fundamental Rights (FR) and Directive Principles of State Policy

AWSM NOTES

(DPSP). Despite being distinct, DPSPs and FRs are complementary and work together to fulfill the vision of a just society.

Complementarity of DPSP and FR:

- 1. Holistic Vision of Justice: Fundamental Rights focus on protecting individual freedoms and ensuring justice, while DPSPs aim to promote socio-economic welfare and social justice.
 - Article 21 guarantees the right to life and personal liberty, which ensures that individuals have the right to live with dignity.
 - Article 41 directs the State to provide the right to work, to education, and to public assistance in cases of unemployment, old age, sickness, and disablement.
 - This complements Article 21 by enhancing the conditions under which life and personal liberty are exercised.
- 2. Promotion of Equality: FRs protect individual rights and prevent discrimination, while DPSPs aim to achieve economic and social equality.
 - Article 15 prohibits discrimination on grounds of religion, race, caste, sex, or place of birth.
 - Article 46 promotes the educational and economic interests of Scheduled Castes and Scheduled Tribes, thus addressing inequalities that FRs aim to prevent.
- **3.** Social Justice and Economic Welfare: While FRs ensure the protection of basic rights, DPSPs guide the State in creating conditions for equitable social and economic development.
 - Article 19 guarantees freedoms such as speech, assembly, and association.
 - Article 39 mandates that the State should direct its policy towards ensuring that all citizens have adequate means of livelihood and that the economic system does not result in the concentration of wealth in a few hands.
 - This supports the broader goal of creating an environment where fundamental freedoms can be enjoyed fully.
- 4. Empowerment and Development: DPSPs guide the State in shaping policies that promote welfare and development, which in turn enhances the effective enjoyment of Fundamental Rights.
 - Article 23 prohibits human trafficking and forced labor.
 - Article 43 ensures that workers have a living wage and decent working conditions.
 - By addressing economic disparities and improving working conditions, DPSPs help in safeguarding workers' rights under Article 23.
- 5. Implementation of Rights: DPSPs provide a framework for achieving the full realization of FRs by guiding the State's policy and legislative measures.
 - ✤ Article 14 guarantees equality before the law.
 - Article 39A directs the State to promote justice on a basis of equal opportunity and to provide free legal aid to the poor.
 - This assists in ensuring that the principle of equality before the law is more effectively realized.

Directive Principles of State Policy and Fundamental Rights are integral to the Indian Constitution, each addressing different aspects of governance and social justice. While Fundamental Rights focus on safeguarding individual freedoms and ensuring justice, DPSPs provide a roadmap for the State to achieve socio-economic development and welfare. Their complementary nature ensures a balanced approach to governance, where individual rights are protected, and broader socio-economic goals are pursued, contributing to the creation of a just and equitable society.

Debate on Enforcing Fundamental Duties

The addition of Fundamental Duties to the Indian Constitution through the 42nd Constitutional Amendment Act of 1976, following the recommendations of the Sardar Swaran Singh Committee, marked a significant shift towards emphasizing citizens' responsibilities alongside their rights. Article 51A outlines these duties, which are intended to foster civic responsibility and promote national unity. However, the debate over whether these duties should be enforced by law involves weighing the potential benefits against the drawbacks of such enforcement.

AWSM NOTES

Need for Enforcing Fundamental Duties:

- 1. Filling the Legal Vacuum: Fills legal vacuum making them obligatory to enforce the needed discipline and behavioral change among citizens. For Eg. In M.C. Mehta v. Union of India, the Supreme Court introduced compulsory learning of lessons on protection and improvement of the natural environment in all the educational institutions of the country as a part of Fundamental duty under Article 51-A (g)
- 2. Legislative and Executive Guidance: Fundamental Duties can guide legislative and executive actions, ensuring that governance aligns with civic responsibilities. This alignment helps in creating a more disciplined and responsible society.
- **3.** Complementing Directive Principles: DPSPs have influenced various legislative measures; similarly, Fundamental Duties could lead to the enactment of laws that support national integration and environmental conservation.
- 4. Guidance for Judicial Review: The interpretation of Fundamental Duties could help in adjudicating cases where the balance between individual rights and collective responsibilities is at issue.
- 5. **Promoting Patriotism and Unity:** Duties such as defending the country and rendering national service emphasize the importance of national unity and patriotism, which are crucial for maintaining a cohesive society.

Drawbacks of Enforcing Fundamental Duties:

- 1. Risk of Political Propaganda: Enforcing Fundamental Duties could be misused to advance political agendas or cultural biases, potentially leading to the manipulation of educational content or civic policies. For Eg: There is a risk of altering school curricula to promote specific ideological viewpoints under the guise of fulfilling Fundamental Duties.
- 2. Redundancy of Existing Laws: Many Fundamental Duties overlap with existing legal obligations, rendering formal enforcement redundant. Effective legislation already addresses issues like environmental protection. For Eg. The duty to protect and improve the environment is already covered by comprehensive environmental laws, making additional legal enforcement of this duty somewhat redundant.
- 3. Futility Without Citizen Will: Legal enforcement of duties may be ineffective without genuine citizen commitment and engagement. Merely imposing duties without fostering a sense of moral obligation may not achieve the desired outcomes. The effectiveness of duties depends on the willingness of citizens to embrace and act upon these responsibilities, beyond legal compulsion.
- 4. Ambiguity in Scope: Some Fundamental Duties, like valuing and preserving cultural heritage, are broadly defined, leading to subjective interpretations and potential misuse. For Eg. Instances of vigilante actions justified by cultural preservation arguments.
- 5. Potential for Moral Policing: The broad and vague nature of some duties can enable individuals or groups to engage in moral policing, targeting others under the pretext of upholding duties. For Eg: Recent instances of violence and harassment by cow vigilantes can be linked to the misuse of cultural preservation duties.

Way Forward:

- 1. Voluntary Adherence: Encouraging voluntary compliance with Fundamental Duties, rather than mandating enforcement, aligns with democratic principles and fosters genuine commitment.
- 2. Enhanced Awareness and Education: For Fundamental Duties to be effective, they must be widely known and understood. Comprehensive education and awareness campaigns are essential.

Conclusion: The enforcement of Fundamental Duties presents both opportunities and challenges. A balanced approach that emphasizes voluntary compliance, public education, and careful consideration of legislative measures could help in integrating Fundamental Duties effectively into Indian society. The ultimate goal should be to foster a culture of responsibility and patriotism without compromising democratic values and individual freedoms.

Amendment Process

The Constitution of India provides for its amendment in order to adjust itself to the changing conditions and needs. Article 368 in Part XX of the Constitution deals with the powers of Parliament to amend the Constitution and its procedure.

AWSM NOTES

Procedure for Amending the Indian Constitution

1. Initiation of the Amendment Bill:

- An amendment bill can be introduced in either house of Parliament.
- Can be proposed by a minister or private member; no Presidential permission needed.

2. Passing the Bill:

- Must be approved by a majority of the total membership and a two-thirds majority of those present and voting in both houses.
- ✤ Each house must pass the bill separately.
- There is no mechanism for resolving disagreements between houses through a joint sitting.

3. Ratification by State Legislatures:

- Amendments affecting the federal structure must be ratified by at least half of the state legislatures.
- States must approve by a simple majority.

4. Presidential Assent:

- ✤ The bill is presented to the President for assent.
- The President cannot withhold assent or return the bill for reconsideration.

5. Enactment:

* After Presidential assent, the bill becomes an act and is incorporated into the Constitution.

Criticisms of the Amending Procedure

- 1. Parliamentary Control: Only Parliament can initiate amendments, potentially limiting broader participation and inclusiveness.
- 2. Difficulity for Private Members: The procedure is challenging for private members to navigate, requiring significant support to succeed.
- 3. Ruling Party Influence: Majority Power: The ruling party's strength in Parliament can facilitate amendments, potentially sidelining minority views.
- 4. Limited State Role: States can only ratify or reject amendments, not propose them, reflecting a centralized approach rather than cooperative federalism.
- 5. Absence of Time Frame: The Constitution does not specify a time frame for states to ratify amendments, which can lead to delays and uncertainty.
- 6. Vague Provisions: The procedural provisions are somewhat vague, leading to potential judicial interpretation and legal challenges.

Summary Analysis

- 1. Centralization vs. Flexibility: The procedure is centralized in Parliament, ensuring broad consensus but potentially limiting the role of states and private members.
- 2. Challenges for Private Members: The rigidity of the process makes it difficult for private members to propose amendments, often requiring significant backing to succeed.
- **3.** Influence of Ruling Party: The dominance of the ruling party can lead to amendments that reflect the majority's views, potentially disregarding minority perspectives.
- 4. State Involvement: The limited role of states in initiating amendments reflects a centralized system, which may not fully support cooperative federalism.
- 5. Potential Delays and Ambiguities: The lack of a specified time frame for ratification and the vague procedural provisions can lead to delays and require judicial intervention.

AWSM NOTES

Significance of the Amendment Provisions of the Constitution

- 1. Adaptation to Change: Time and conditions (political, economic, social) evolve; hence, the Constitution must be periodically amended to remain relevant and effective.
- 2. Prevention of Stagnation: A static Constitution can impede national progress. Amendment provisions help address and overcome future challenges and difficulties.
- **3. Response to Technological Advances**: New fields of knowledge and technology necessitate regulatory changes. For example, the establishment of institutions like the Data Protection Authority of India requires constitutional backing.
- 4. Flexibility for Reform: Allows for adaptation to new institutions, reform of existing ones, and integration of international commitments into national laws, e.g., GST and GST Council.
- **5. Strengthening Foundational Principles**: Amendments support foundational principles like cooperative federalism (e.g., GST Council) and ensure equality by providing constitutional status to bodies like the National Commission for Backward Classes.
- 6. **Prevention of Extra-Constitutional Methods**: Without amendment provisions, changes might be sought through non-constitutional means, such as revolution.
- 7. Judicial Oversight: While Parliament has broad amending powers, the Basic Structure Doctrine enforced by the Supreme Court provides a check on these powers, ensuring amendments do not alter the fundamental principles of the Constitution.

Despite these criticisms, the procedure has proven effective in adapting to changing needs, maintaining a balance between flexibility and rigidity, and ensuring the Constitution evolves in response to societal changes.

Kesavananda Bharati Case (1973)

- > Introduced the concept that Parliament cannot alter the 'basic structure' of the Constitution.
- > This doctrine defines the limits of Parliament's amending power under Article 368.
- Supreme Court overruled its earlier Golak Nath case judgment.
- > Upheld the 24th Amendment Act, affirming Parliament's power to amend Fundamental Rights.
- The Supreme Court ruled that the amending power is not absolute; it cannot modify or destroy the Constitution's basic structure.
- > The term 'amend' in Article 368 refers to changes that do not alter the basic features of the Constitution.

Substantive Limitation: Established a substantive limitation on Parliament's power to amend the Constitution, ensuring fundamental principles are preserved.

Academic Debate:

- Criticism: Opponents argue that the doctrine introduces a metaphysical rather than a legal approach, and that the judiciary may be overstepping by creating new constitutional norms.
- Support: Proponents contend that the doctrine preserves the sanctity and core values of the Constitution, protecting it from potential erosion by legislative amendments.

Basic Structure Doctrine

The concept of 'basic structure' came into existence in the landmark judgment in Kesavananda Bharati vs State of Kerala case (1973) 50 years ago.

Significance of the Basic Structure Doctrine

- 1. Limiting Political Power and Amending Powers: Restricts Parliament's power to amend the Constitution, ensuring it cannot alter its fundamental principles.
- 2. Judicial Review and Co-Constituent Power: Enhances the judiciary's role in reviewing amendments and exercising co-constituent power, ensuring amendments align with the Constitution's core values.

AWSM NOTES

- **3.** Supreme Court's Role: Affirms the Supreme Court's responsibility to uphold fundamental principles, such as judicial independence, as seen in cases like NJAC (2015).
- 4. Constitutionalism: Prevents damage to the essence of the Constitution by the ruling majority, preserving core values against potential overreach.
- 5. **Protection of Democracy**: Acts as a safeguard against potential totalitarianism by limiting parliamentary power, thus preserving democratic integrity.
- 6. **Preservation of Founding Tenets**: Ensures the retention of the basic principles meticulously established by the Constitution's framers.
- 7. Strengthening Democracy: Supports a true separation of powers, bolstering judicial independence and making the Supreme Court one of the most powerful courts globally.
- 8. Retention of Basic Rights: Ensures fundamental rights remain protected and cannot be overridden by any state organ.
- 9. Dynamic Nature: Allows for progressive interpretation and adaptation over time, contrasting with the rigidity of earlier judgments.

Criticisms of the Basic Structure Doctrine

- 1. Controversial Verdict: The Kesavananda Bharati case was decided by a narrow 7-6 majority, which could be reinterpreted in future judgments.
- 2. Lack of Constitutional Basis: No explicit mention of the basic structure doctrine in the Constitution's language.
- 3. Ambiguity: The doctrine lacks a clear definition of what constitutes the basic structure, leading to ambiguity.
- 4. Judicial Subjectivity: Different judges may define the basic structure based on subjective interpretations, causing inconsistency.
- 5. Separation of Powers: Seen as inconsistent with the principle of separation of powers, potentially disrupting the balance between legislative and judicial functions.
- 6. Judicial Overreach: Allows courts to invalidate laws passed by Parliament if deemed against the basic structure, potentially constraining legislative power.
- 7. Judicial Overreach Example: The NJAC case demonstrates judicial overreach, where the Supreme Court used the basic structure doctrine to invalidate a parliamentary amendment despite broad legislative and state support.
- 8. Imposing Judicial Philosophy: Gives the judiciary significant power to impose its philosophy on a democratically elected government.

Conclusion

The Basic Structure Doctrine, established in the Kesavananda Bharati case, remains a crucial element in safeguarding the fundamental principles of the Indian Constitution. It represents the judiciary's commitment to preserving democratic values and protecting citizens' rights, even amid debates about its implications and application.

Zia Modi, in her book 'The Ten Judgments that changed India', has given following arguments-

- 1. Although the judiciary was wrong from the academic point of view, but from the practical point of view, it was the need of the time in the Indian context.
- 2. It has proved to be a blessing in disguise as it has checked authoritarianism of the government. This has stopped India from going on the path of the other Third World countries.

Doctrine of Separation of Powers

- > The separation of powers divides government functions into three branches: legislative, executive, and judicial.
- Article 50: Mandates that states take measures to separate the Judiciary from the Executive to maintain independence and prevent overlap.
- Ensures no single branch accumulates excessive power, maintaining a balance and preventing tyranny.
- > The Indian Constitution outlines the roles and functions of each government branch and sets norms for their interactions, including checks and balances.

AWSM NOTES

Instruments of Checks & Balances

- 1. Legislature Control:
 - > On Judiciary:
 - ✤ Can impeach and remove judges.
 - Can amend laws declared unconstitutional by the judiciary and revalidate them.

> On Executive:

- Can dissolve the government via a no-confidence vote.
- ✤ Monitors executive actions through mechanisms like question hour and zero hour.

2. Executive Control:

- > On Judiciary:
 - ✤ Appoints Chief Justice and other judges.

> On Legislature:

- Exercises powers under delegated legislation.
- Regulates procedural rules for conducting legislative business within constitutional bounds.

3. Judicial Control:

- > On Executive:
 - ✤ Conducts judicial reviews to assess whether executive actions comply with the Constitution.

On Legislature:

Enforces the Basic Structure Doctrine, which limits Parliament's power to amend the Constitution, as established in the Kesavananda Bharati case (1973).

Issues

- 1. Weakened Opposition: Effective opposition is crucial for checks and balances in a democracy. A dominant party can diminish the role of the opposition, risking majoritarianism.
- 2. Judiciary's Reluctance: The Supreme Court struck down the 99th constitutional amendment and the NJAC, which aimed to enhance judicial appointments and independence, citing it as ultra vires.

The NJAC could have improved the independence of the judiciary, ensured better quality appointments, enhanced fairness, and increased public confidence in the judicial system.

3. Executive Excesses: Concerns about the executive's centralization of power, weakening public institutions, and enacting laws that might curtail freedoms while enhancing state control.

Conclusion

The doctrine of separation of powers is fundamental to maintaining a balanced government structure, ensuring that no single branch wields unchecked power. However, issues like weakened opposition, judicial activism, and executive overreach highlight ongoing challenges in upholding this doctrine in practice.

Parliamentary Form of Government

Also Known As Westminster Model/ Cabinet Government/ Responsible Government

Major Features

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1. Nominal and Real Executives:

- * Nominal Executive: Head of State (e.g., President or Monarch) with ceremonial duties.
- **Real Executive**: Head of Government (e.g., Prime Minister) who holds actual executive power.
- 2. Majority Party Rule: Government is formed by the party (or coalition) with the majority in the lower house of Parliament.
- 3. Collective Responsibility: The entire Council of Ministers is collectively responsible to the Parliament and, ultimately, to the people.
- 4. Role of Opposition: Opposition parties scrutinize and challenge the ruling government, ensuring accountability.
- 5. Bicameral Legislature: Parliament typically consists of two houses (e.g., Lok Sabha and Rajya Sabha in India).
- 6. Dissolution Powers: The President can dissolve the Lok Sabha on the recommendation of the Prime Minister. The Rajya Sabha is a permanent house and cannot be dissolved.

Advantages

- 1. Coordination: Facilitates better coordination between the legislative and executive branches.
- 2. Prevention of Authoritarianism: Executive accountability to the legislature prevents concentration of power.
- 3. Participatory Decision-Making: Encourages inclusive and participatory governance.
- 4. Flexibility: Government can be replaced if it loses majority support. The Prime Minister can be easily changed if necessary.
- 5. **Representation**: Provides representation of diverse groups within Parliament and government, which is vital in diverse societies like India.
- 6. Adaptability: The system allows for changes in leadership and policy with relative ease.

Demerits

- 1. Instability: Political defections and coalition politics can lead to government instability.
- 2. Policy Changes: Frequent changes in the ruling party can result in shifts in government policies.
- **3.** Autocratic Tendencies: A majority party can become autocratic, exercising excessive power, especially if it holds an absolute majority.
- 4. Separation of Powers: The Cabinet acts as both the executive and legislative leader, potentially undermining the separation of powers.
- 5. Ministerial Expertise: Ministers may lack expertise in their portfolios, limiting the Prime Minister's choice in selecting competent ministers.

Conclusion

The parliamentary system of government, while offering significant advantages such as coordination and inclusiveness, also presents challenges including potential instability and a blurring of executive-legislative boundaries. The effective functioning of Parliament is crucial for maintaining democratic principles and ensuring that the government remains accountable to the people.

Relevance of the Rajya Sabha in Indian Democracy

The Rajya Sabha, or Council of States, is the upper house of the Indian Parliament. It was established to provide a balance to the more populous Lok Sabha and ensure a federal perspective within the legislative process.

Key Relevance

- 1. Permanent Body:
 - Unlike the Lok Sabha, the Rajya Sabha is not dissolved.
 - One-third of its members retire every two years, ensuring continuity and a mix of new and experienced members.

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• This setup helps maintain stability and continuity in governance.

2. Review and Revaluation Role:

- Acts as a revising chamber to review and correct legislation passed by the Lok Sabha.
- Provides a platform for regional and smaller parties to contribute to national debates.

3. House of Checks and Balances:

- Offers a check on the Lok Sabha, especially on decisions driven by populist sentiments.
- Unlike the British House of Lords, Rajya Sabha members are not hereditary; they are elected or nominated.

4. Voice of the States:

- * Members are elected by state legislative assemblies and represent states and union territories.
- Allocates seats to states and union territories based on the Fourth Schedule of the Constitution, balancing state representation.

5. Conduit Between States and Parliament:

- Facilitates the representation of states' interests in national legislation.
- Promotes federal principles by providing states with a direct voice in national matters.

6. **Promotes Participatory Democracy**:

- The President nominates twelve members for their contributions to arts, literature, sciences, and social services.
- Allows eminent personalities from diverse fields to participate in legislative processes.

Special Powers of Rajya Sabha

- 1. Legislation on State List Matters: Under Article 249, the Rajya Sabha can pass resolutions to allow Parliament to legislate on State List matters if it secures a two-thirds majority.
- 2. Creation of All India Services: Article 312 allows the Rajya Sabha to pass resolutions for the creation of All India Services, serving both Union and State governments.
- 3. **Proclamation of President's Rule**: The Rajya Sabha can approve the imposition of President's Rule if the Lok Sabha is dissolved, under Articles 352, 356, and 360.
- 4. **Removal of Vice President**: Only the Rajya Sabha can introduce a resolution for the removal of the Vice President, as per Article 67.

Concerns Related to Rajya Sabha

- 1. **Subverting Federal Character**: The Representation of People (Amendment) Act, 2003, removed the domicile requirement for Rajya Sabha candidates, allowing non-residents to contest elections from any state.
- 2. Use of Rajya Sabha Seats: Ruling parties sometimes elect defeated candidates from the Lok Sabha to the Rajya Sabha, raising questions about its effectiveness.
- 3. Limited Powers on Money Bills: Money Bills can only be introduced in the Lok Sabha. The Rajya Sabha can only recommend amendments and must return the bill within 14 days, limiting its influence on financial legislation.
- 4. **Bypassing the Rajya Sabha**: Some ordinary bills are passed as Money Bills to circumvent Rajya Sabha scrutiny, questioning the efficacy of the upper house.
- 5. **Issues with Joint Sittings**: In joint sittings, the Lok Sabha's larger membership typically prevails over the Rajya Sabha, limiting its power.

6. Other Limitations:

- The Rajya Sabha cannot introduce no-confidence motions.
- Plays a limited role in the Public Accounts Committee and is not involved in the Estimates Committee.

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Conclusion

The Rajya Sabha plays a crucial role in Indian democracy by ensuring continuity, providing a review mechanism, representing states, and enhancing participatory democracy. However, concerns about its effectiveness and influence, particularly in financial matters and legislative processes, highlight ongoing debates about its role and reforms.

Collegium System: Overview and Evolution

The Collegium System is the method for appointing and transferring judges to the Supreme Court and High Courts of India. It has evolved through various Supreme Court judgments and is not established by an Act of Parliament or a specific constitutional provision.

Evolution

- 1. First Judges Case (S.P. Gupta v. Union of India, 1981):
 - Established that the Chief Justice of India (CJI) had primacy in recommending judicial appointments but acknowledged that the Executive could refuse these recommendations with "cogent reasons."
 - ✤ Led to Executive dominance in judicial appointments for over a decade.
- 2. Second Judges Case (Supreme Court Advocates-on-Record Association v. Union of India, 1993):
 - Introduced the Collegium System, stating that "consultation" meant "concurrence" and that judicial appointments required the consensus of the CJI and the two senior-most judges of the Supreme Court.

3. Third Judges Case (Presidential Reference, 1998):

- Expanded the Collegium to a five-member body, including the CJI and the four senior-most judges of the Supreme Court.
- Provided a more structured approach to judicial appointments and transfers.

4. National Judicial Appointments Commission (NJAC) Act (2014):

- Created a commission including the CJI, two senior-most Supreme Court judges, the Law Minister, and two eminent persons.
- ◆ Declared unconstitutional in October 2015 by the Supreme Court, reinforcing the Collegium System.
- Composition of NJAC:
 - 1. The Chief Justice of India
 - 2. Two senior-most Supreme Court judges
 - 3. The Law Minister of India
 - 4. Two eminent persons chosen by a Selection Committee

Composition of the Collegium

- 1. Supreme Court Collegium:
 - Composed of the Chief Justice of India (CJI) and the four senior-most judges of the Supreme Court.
- 2. High Court Collegium:
 - ✤ Led by the Chief Justice of the High Court and includes the two senior-most judges of that court.

Appointment Process:

- > Judges for the Supreme Court and High Courts are appointed through this Collegium System.
- > The government has a role only after the names have been finalized by the Collegium.

AWSM NOTES

Constitutional Articles:

- Article 124(2): Deals with the appointment of Supreme Court judges.
- > Article 217: Deals with the appointment of High Court judges.

Issues Related to the Collegium System

- 1. **Exclusion of Executive**: The Executive is completely excluded from the judicial appointment process, leading to a system where judges appoint judges in secrecy.
- 2. Lack of Accountability: The Collegium is not accountable to any administrative body, potentially resulting in poor choices and overlooking suitable candidates.
- 3. Favouritism and Nepotism: Absence of specific criteria for CJI appointments may lead to nepotism and favouritism.
- 4. Non-Transparency: The process lacks transparency, which is detrimental to public trust in the judiciary.
- 5. Against the Principle of Checks and Balances: The system concentrates power within the judiciary, reducing checks and balances and increasing the risk of misuse.
- 6. **Closed-Door Mechanism**: Decisions are made in private, with no official minutes or public records of Collegium meetings.
- 7. Unequal Representation: Women and other marginalized groups are underrepresented in the higher judiciary.

Way Forward

- Need for Reform: Consider establishing a permanent, independent body to institutionalize the appointment process, balancing judicial independence with executive oversight.
- Ensuring Diversity and Integrity: The reform should ensure independence, reflect diversity, and demonstrate professional competence and integrity.
- Collaborative Approach: A collaborative approach involving both the executive and judiciary is essential for filling vacancies and maintaining a balanced judicial system.

Centre-State Relationship in India

India's federal structure is characterized by the division of powers between the central government and state governments. This framework is crucial for maintaining the stability, security, and economic progress of the country. The relationship is defined and governed by various constitutional provisions and has evolved over time.

Constitutional Provisions

- 1. Legislative Relations (Articles 245 to 255):
 - Union List: 100 subjects under the exclusive jurisdiction of the central government.
 - State List: 61 subjects under the exclusive jurisdiction of state governments.
 - Concurrent List: 52 subjects where both Centre and States can legislate. In case of conflict, central law prevails.

2. Administrative Relations (Articles 256 to 263):

- Article 256: States must ensure that laws made by Parliament are implemented.
- * Article 257: The central government can issue directions to the states to ensure proper administration of laws.
- ✤ Article 263: Establishes a mechanism for inter-state councils to resolve disputes and coordinate between states and the Centre.
- 3. Financial Relations (Articles 268 to 293):
 - * Article 268: Duties levied by the Centre but collected and appropriated by States.
 - Article 269: Taxes on the sale of goods and services levied by the Centre but collected by States.
 - Article 270: Distribution of tax revenues between the Centre and States.

AWSM NOTES

Finance Commission: Appointed every five years to recommend the distribution of financial resources between the Centre and States.

Historical Evolution of Centre-State Relations

- 1. Phase 1 (1950-67):
 - Central Dominance: The Congress party's dominance at both the Centre and State levels led to a centralized approach.
 - Consultation and Accommodation: This era was characterized by mutual consultation and a consensusbased approach.
- 2. Phase 2 (1967-77):
 - Increased Centralization: Rise in centralization with frequent use of Article 356 (President's Rule), leading to political instability in states.
 - **Misuse of Powers**: Central government's increased intervention in state matters.
- 3. Phase 3 (1977-89):
 - Coalition Politics: Emergence of coalition politics increased demands for state autonomy and decentralized governance.
 - * Decentralization: Greater emphasis on political decentralization and state autonomy.
- 4. Phase 4 (1989 Onwards):
 - Federalization: Coalition governments at the Centre and the rise of regional parties have strengthened federal principles.
 - * **Regional Influence**: Regional parties play a significant role in national politics and policy-making.

Contemporary Centre-State Relations

- 1. Cooperative Federalism:
 - Partnership Approach: Emphasizes cooperation between the Centre and States to achieve common developmental goals.
 - **&** Examples:
 - GST Implementation: Unified Goods and Services Tax system requiring cooperation between Centre and States.
 - * NITI Aayog: Promotes bottom-up development planning and supports cooperative federalism.
- 2. Competitive Federalism:
 - State Competition: States compete to attract investments, improve governance, and enhance administrative performance.
 - **&** Examples:
 - **Ease of Doing Business Rankings**: States strive to improve their rankings to attract businesses.
 - Restructuring Centrally Sponsored Schemes: Competitive approach in implementing and optimizing centrally sponsored schemes.

Summary

India's Centre-State relationship has evolved from a centralized approach to a more balanced federal structure, incorporating elements of both cooperative and competitive federalism. The constitutional framework provides for a division of powers, while historical phases reflect shifts in political dynamics and governance practices. The contemporary approach aims to balance cooperative and competitive elements, enhancing both state autonomy and collaborative development.

AWSM NOTES

Asymmetric Federalism in India

Asymmetric federalism in India reflects a federal structure where different constituent units (states) possess varying degrees of power in political, administrative, and fiscal domains. This form of federalism is integral to India's governance and political framework, recognizing the country's vast diversity and regional needs.

Importance of Asymmetric Federalism in India

1. Securing Rights:

- Fundamental Rights: Asymmetric federalism helps address initial social disparities by providing special rights and protections to certain regions, ensuring a more equitable distribution of resources and opportunities.
- 2. Protecting Ethnicity and Culture:
 - Article 371: This article grants special powers to certain northeastern states, allowing them to preserve their unique customs, laws, and practices, which helps in maintaining their cultural heritage.
- 3. Consolidating Social Fabric:
 - Empowerment: Special powers and semi-autonomy granted to specific regions help in strengthening their sense of empowerment and belonging, reducing secessionist tendencies and fostering national unity.
- 4. Strengthening Democracy and Representation:
 - Minority Representation: By accommodating the specific needs of minority regions through special provisions, asymmetric federalism reinforces democratic principles and ensures that diverse voices are represented.
- 5. Promoting Unity in Diversity:
 - Diversity Protection: Asymmetric federalism supports the principle of 'Unity in Diversity' by addressing the specific needs of vulnerable and diverse groups through tailored policies.
- 6. Ensuring Social Justice:
 - Special Status: Provisions for special status and categories aim to promote social justice by addressing historical inequalities and providing targeted support.
- 7. Reducing Radicalisation:
 - Mainstreaming: Special powers granted to certain states can help integrate marginalized regions into the mainstream development process, reducing the potential for radicalization.

Challenges of Asymmetric Federalism in India

- 1. Centre-State Conflicts:
 - Special Category Status: The demand for special category status by various states can lead to conflicts between the Centre and states, impacting cooperative governance.
- 2. Allegations of Partisanship:
 - Discriminatory Practices: There have been concerns about discriminatory practices, such as differential treatment in the allocation of resources or fiscal advantages, as observed with the 15th Finance Commission's decisions.
- 3. Arbitrariness:
 - Unequal Treatment: The absence of uniform criteria for granting special status can lead to arbitrary decisions, resulting in uneven development and dissatisfaction among states not granted special status.
- 4. Lack of Quantifiable Criteria:
 - Political Motivation: Preferential treatment of certain states may be driven more by political considerations than by objective needs, leading to perceptions of unfairness.

5. Anti-Egalitarian Nature:

• Inequality: Asymmetric federalism is sometimes criticized for being anti-egalitarian, as it might prevent the extension of rights and benefits available in other parts of the country to all regions.

Constitutional Provisions and Recent Developments

1. Constitutional Provisions:

- Article 371: Grants special provisions to certain states, including special powers and autonomy to address their unique needs and challenges.
- Other Articles: Various articles in the Constitution provide for special status and privileges to different states, contributing to the framework of asymmetric federalism.

2. Recent Developments:

- Special Status Debates: Ongoing discussions about special status for states like Jammu & Kashmir and various northeastern states reflect the evolving discourse on asymmetric federalism.
- Fiscal Advantages: The allocation of financial resources and fiscal benefits to certain states continues to be a contentious issue, influencing the dynamics of Centre-State relations.

Conclusion

Asymmetric federalism is a crucial element of India's federal structure, designed to manage the country's vast diversity and regional differences. While it plays a significant role in accommodating varied regional needs and promoting unity, it also presents challenges such as potential conflicts, allegations of bias, and issues of fairness. Addressing these challenges through transparent and equitable policies is essential for maintaining the balance between unity and diversity in India's federal framework.

To Better Manage Centre-State Relations in India

The Indian Constitution establishes a federal framework that aims to balance power between the Centre and the states. Over time, the dynamics of Centre-State relations have evolved, influenced by political, economic, and social changes. Effective management of these relations is crucial for maintaining national unity and ensuring equitable development. Various commissions have provided valuable recommendations to improve these relations. Here's a comprehensive analysis and recommendations:

Historical Commissions and Their Recommendations

- 1. First Administrative Reforms Commission (1966)
 - Inter-State Council: Recommended the establishment of the Inter-State Council under Article 263 to facilitate better coordination between the Centre and states.
 - **Governors**: Suggested appointing Governors with substantial experience in public life and administration.
 - **Delegation of Powers**: Advocated for delegating more powers to the states to enhance their autonomy.
 - Financial Resources: Emphasized increasing the transfer of financial resources to states for balanced development.
- 2. Sarkaria Commission (1983)
 - Permanent Inter-State Council: Recommended the establishment of a permanent Inter-State Council to address inter-state issues and promote cooperative federalism.
 - Article 356: Advised restricting the use of Article 356 (President's Rule) to prevent misuse and promote state autonomy.
 - ✤ All-India Services: Suggested strengthening and expanding All-India Services for better administrative coordination.
 - Communication on State Bills: Recommended that the President communicate reasons for withholding assent to state bills.

AWSM NOTES

- **Councils**: Advocated for the reactivation of Zonal Councils to address regional issues.
- Consultation with States: Emphasized the need for consultation with states on matters like security deployment and laws on concurrent list subjects.

3. M.M. Punchhi Commission (2007)

- Communication on Pending Bills: Reiterated the need for the President to communicate with states about pending bills.
- State List and Concurrent List: Recommended greater flexibility for states on subjects in the State List and those transferred from the Concurrent List.
- Governors' Discretionary Powers: Suggested narrowing the scope of discretionary powers of Governors under Article 163.
- Chief Minister Appointment: Proposed guidelines for appointing the Chief Minister in case of a hung assembly.
- Permanent Finance Commission: Recommended making the Finance Commission a permanent body with rotating membership.
- Judicial Councils: Suggested setting up judicial councils for budget preparation and sharing the budget between Centre and states.
- * Rajya Sabha Representation: Advocated for equal representation of states in the Rajya Sabha.
- 4. National Commission to Review the Working of the Constitution (NCRWC)
 - Inter-State Trade and Commerce Commission: Proposed creating the Inter-State Trade and Commerce Commission under Article 307 to manage inter-state trade issues.
 - Political Breakdown: Suggested providing states an opportunity to address political breakdowns before invoking Article 356.

Recent Developments

- 1. Cooperative Federalism:
 - GST Council: The GST Council is a key example of cooperative federalism, involving both Centre and states in decision-making for tax policy and implementation.
 - Competitive Federalism: States are now competing for benefits through rankings and indices, fostering better governance and performance.
 - Collaborative Crisis Management: The Centre and states have worked together during crises like the COVID-19 pandemic, showcasing improved coordination and cooperation.
- 2. Shift in Dynamics:
 - Increased State Role: States are playing a more significant role in areas such as health, education, and environment, reflecting a move towards a more balanced federal approach.
 - Policy Implementation: There is a growing emphasis on implementing recommendations from commissions to address Centre-State issues effectively.

Recommendations for Better Management

- 1. **Strengthening the Inter-State Council**: Ensure that the Inter-State Council is effective in addressing inter-state disputes and promoting cooperative federalism.
- 2. Enhancing Financial Devolution: Increase the transfer of financial resources to states, ensuring equitable distribution and addressing regional disparities.
- 3. **Reforming the Appointment Process**: Appoint Governors with relevant experience and ensure their role is supportive rather than interventionist.
- 4. **Clarifying Constitutional Provisions**: Provide clearer guidelines on the use of Article 356 and the discretionary powers of Governors to prevent misuse.
- 5. Improving Transparency and Accountability: Implement measures to increase transparency in the Centre-State

AWSM NOTES

relationship and hold both levels of government accountable for their roles.

- 6. **Promoting Greater State Autonomy**: Allow states more flexibility in managing subjects in the State List and those in the Concurrent List, respecting their unique needs and contexts.
- 7. **Institutionalizing Reforms**: Adopt institutional reforms recommended by various commissions to address contemporary challenges and improve governance.

Conclusion

Effective management of Centre-State relations is crucial for India's stability and development. By implementing the recommendations of various commissions and adapting to evolving dynamics, India can achieve a more balanced and cooperative federal system. As Dr. B.R. Ambedkar emphasized, while administrative convenience divides the country into states, the essence of India remains as a unified whole. Balancing autonomy with unity is key to ensuring that all regions thrive within the framework of a strong and cohesive nation.

Inter-State River Water Disputes in India

India's extensive network of rivers is crucial for agriculture, industry, and domestic needs. However, disputes over the sharing and management of these rivers have become increasingly prominent due to various factors, including climate change, population growth, and political dynamics.

Constitutional and Statutory Provisions

- 1. Article 262(1): Empowers Parliament to enact legislation for adjudicating inter-state river water disputes.
- 2. Article 262(2): Grants Parliament the authority to exclude the jurisdiction of the Supreme Court or any other court over inter-state river water disputes.
- 3. Rivers Board Act, 1956: Established to regulate and develop interstate rivers and valleys in the public interest.
- 4. Inter-State River Water Disputes Act, 1956: Allows Parliament to set up tribunals for settling interstate river water disputes and excludes the Supreme Court from jurisdiction over such disputes.
- 5. Seventh Schedule of the Constitution:
 - * Entry 17 of the State List: Covers water supply, irrigation, canal, drainage, and water storage.
 - **Entry 56 of the Union List**: Pertains to regulation and development of interstate rivers and river valleys.

Reasons Behind Rising Disputes

- 1. **Mismatch Between Demand and Supply**: India, hosting 18% of the world's population, has only 4% of global water resources.
- 2. Climate Change: Increasing temperatures and changing precipitation patterns lead to reduced river flows and heightened disputes.
- 3. Political Factors: Politicians often use river disputes to garner public support, exacerbating conflicts.
- 4. Bifurcation of States: New states, like Telangana from Andhra Pradesh, create fresh disputes over river resources.
- 5. Agriculture Patterns: Water-intensive crops in water-scarce regions increase pressure on river resources.
- 6. Affected Interests: States' actions affecting downstream or upstream states' interests can lead to conflicts.
- 7. Increasing Demand and Pollution: Rising demand and pollution further strain river resources.

Issues in Inter-State Water Disputes

- 1. **Constitutional-Legal Ambiguity**: Jurisdiction issues arise as states control water use, while regulation of interstate rivers is the Centre's responsibility.
- 2. Historical-Geographical Ambiguity: Redrawing state boundaries can disrupt historical water-sharing arrangements.
- 3. **Institutional Ambiguity**: Article 262 bars Supreme Court interference, while Article 136 allows it to hear appeals against tribunal decisions, creating confusion.
- 4. Lack of Implementation: Delays and failures in implementing tribunal awards impede dispute resolution.

AWSM NOTES

- 5. **Over-Reliance on Structural Engineering**: Focus on physical infrastructure without considering technological and environmental factors is problematic.
- 6. **Fragmented Governance**: Current governance structures are insufficient for handling complex inter-state water disputes.

Issues with the Inter-State Water Disputes Act, 1956

- 1. Separate Tribunals: Each dispute requires a new tribunal, which can be inefficient.
- 2. No Time Frame: Lack of a stipulated resolution time leads to prolonged delays.
- 3. Lack of Enforcement: Tribunal decisions often lack effective enforcement mechanisms.
- 4. Appeals: The possibility of appeals can further delay the resolution process.

Potential Inadequacies

- Structural Inadequacies: The constitutional framework, placing water in the State List, contributes to conflicts over shared resources.
- Process Inadequacies: The resolution process under the 1956 Act is slow and often fails to implement tribunal awards effectively.

Way Forward

- 1. Robust Mechanism: Develop a strong mechanism for implementing tribunal awards and ensuring compliance.
- 2. Expert Support: Tribunals should be supported by experts in hydrology, climate science, and water management.
- 3. **Data Consideration**: Incorporate comprehensive data on river basins, including climate factors and rainfall patterns.
- 4. Enhanced Cooperation: Foster greater cooperation between Centre and states, and among states, to resolve disputes effectively.
- 5. **Conservation and Management**: Integrate ecological restoration, conservation of aquatic biodiversity, and balanced water management into river basin planning.
- 6. Addressing River Basin Issues: Implement both short-term and long-term strategies to manage river basin challenges.
- 7. **Distress Sharing Formula**: Develop a practical distress-sharing formula for managing water shortages during deficit periods.
- 8. **Institutional Mechanisms**: Clarify legal questions and improve institutional mechanisms for implementing tribunal awards.
- 9. **Depoliticization**: Move away from politically driven negotiations towards a more impartial, rules-based approach to conflict resolution.

Conclusion

Managing inter-state river water disputes requires a multifaceted approach that includes constitutional reforms, effective implementation of tribunal decisions, and enhanced cooperation among states. Addressing these issues through a combination of legal, administrative, and environmental strategies will help achieve more equitable and sustainable management of India's river resources.

Roles And Responsibilities Of The Union Government And State Governments

In India's federal structure, the roles and responsibilities of the Union (Central) Government and State Governments are delineated by the Constitution. The Constitution provides a detailed framework to balance power and responsibilities between these two levels of government. Here's an overview of their functions and responsibilities as defined in the Indian Constitution:

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AWSM NOTES

Union Government (Central Government)

- 1. Defence and Foreign Affairs: The Union Government handles national defense, armed forces, and foreign relations. It manages defense strategies and international diplomacy.
- 2. Currency and Monetary Policy: It issues currency, regulates the banking system, and formulates monetary policy.
- 3. Interstate and International Trade: The Union Government regulates trade between states and with foreign countries, including setting trade policies and tariffs.
- 4. Telecommunications and Postal Services: It oversees telecommunications and postal services, including regulation of radio, television, and internet services.
- 5. Railways and Major Transport Networks: The Union Government manages major transportation infrastructure like Indian Railways and national highways.
- 6. Foreign Trade and Commerce: It formulates policies related to foreign trade and commerce.
- 7. National Security and Intelligence Agencies: The Union Government is in charge of national security and intelligence agencies.
- 8. Concurrent List Subjects: In matters listed in the Concurrent List (e.g., criminal law, marriage and divorce), both Union and State governments can legislate. However, in case of a conflict, Union laws prevail.

State Governments

- 1. Police and Law and Order: States manage law and order within their territories, including oversight of state police forces and criminal justice.
- 2. Health and Education: States are responsible for public health services and education systems, including schools and colleges.
- 3. Agriculture and Rural Development: States handle agriculture, rural development, and land management.
- 4. Local Governance: States delegate authority to local bodies (municipalities, panchayats) to manage urban and rural development and local infrastructure.
- 5. State Transport and Roads: States oversee transportation systems within their boundaries, including state highways and local roads.
- 6. State Finances: States manage their budgets, taxation, and fiscal policies. They have the authority to raise revenue and manage state finances.
- 7. Public Welfare Programs: States implement social welfare programs, including healthcare, housing, and social services.
- 8. Concurrent List Subjects: States share responsibility with the Union Government for subjects in the Concurrent List. State laws apply unless overridden by Union laws.

Other Key Points

- Division of Powers: The division of powers is detailed in the Seventh Schedule of the Constitution, which contains three lists: the Union List, the State List, and the Concurrent List.
- Resolution of Conflicts: In cases of conflict between Union and State laws on Concurrent List subjects, Union laws take precedence.

The balance between Union and State responsibilities ensures both levels of government can effectively address issues within their jurisdictions while maintaining national cohesion.

Roles and Functions of the Governor

The role of the Governor in India's federal structure is significant in the dynamics of Centre-State relations. The Governor acts as the representative of the President of India in the states and plays a crucial role in maintaining the federal balance while also being a key figure in the administration of state affairs.

AWSM NOTES

1. Constitutional Functions

- Appointment of Chief Minister: The Governor appoints the Chief Minister of the state, usually the leader of the majority party or coalition in the state legislature.
- Summoning and Proroguing State Legislature: The Governor has the power to summon and prorogue the state legislature and dissolve the Legislative Assembly.
- Assent to Bills: The Governor gives assent to bills passed by the state legislature. The Governor may also withhold assent, return the bill with a request for reconsideration, or reserve the bill for Presidential assent.

2. Executive Functions

- Appointment of State Officials: The Governor appoints key state officials, including the Advocate General, the State Election Commissioner, and the Chairpersons of various statutory bodies.
- State Administration: The Governor oversees the functioning of the state administration and ensures that the laws are executed properly.

3. Emergency Powers

- President's Rule (Article 356): If the Governor believes that the government in the state is not functioning according to the provisions of the Constitution, they can recommend the imposition of President's Rule. This results in the dissolution of the state government and the assumption of direct control by the Central Government.
- National Emergency (Article 352): During a national emergency, the Governor can play a role in ensuring the implementation of central directives and policies in the state.

4. Advisory Role

- Report on State Affairs: The Governor is required to submit regular reports to the President about the administration of the state, including any issues related to the law and order situation or any political developments.
- Consultation: The Governor consults with the state government on issues related to administration and may advise on various matters.

Impact on Centre-State Relations

- 1. Balancing Federal Interests: The Governor acts as a mediator between the Centre and the state, helping to maintain the balance of power and ensure that federal principles are respected.
- 2. Conflict Resolution: In case of conflicts between the state government and the Central Government, the Governor's role in communicating and negotiating can be crucial in resolving disputes.
- **3. Political Dynamics**: The appointment of Governors, often influenced by political considerations, can affect the dynamics between the Centre and the state, potentially leading to conflicts or cooperation based on the political alignment of the Governor.

Challenges and Criticisms

- 1. Political Allegiances: Governors are often appointed based on political considerations, which can lead to perceptions of bias and affect their neutrality.
- 2. Interference in State Matters: Excessive or perceived unjust interference by the Governor in state matters can lead to friction between the state government and the Centre.
- 3. **President's Rule**: The imposition of President's Rule can be controversial, as it may be seen as undermining the democratic process and autonomy of the state government.

Reforms and Suggestions

- 1. Appointment Process: Streamlining the appointment process to ensure that Governors are selected based on merit and experience rather than political considerations could enhance their neutrality and effectiveness.
- 2. Clear Guidelines: Establishing clearer guidelines and criteria for the use of emergency powers to prevent misuse

AWSM NOTES

and ensure that such measures are implemented in a manner consistent with democratic principles.

3. Strengthening Federal Coordination: Enhancing the mechanisms for coordination and communication between the Centre and the states, with the Governor playing a facilitative role, can help in smoother administration and conflict resolution.

Conclusion

The Governor's role in Centre-State relations is multifaceted, involving constitutional, executive, and emergency functions. While the Governor is a crucial link between the state and the Centre, ensuring that this role is exercised impartially and effectively is essential for maintaining a harmonious federal structure and addressing the diverse needs and challenges of India's states.

Issues and Challenges Pertaining to the Federal Structure in Indian Polity

India's federal structure, as envisaged in the Constitution, divides powers between the central and state governments. However, this division has faced several issues and challenges over the years, impacting governance and the balance of power. Here is a comprehensive overview:

- 1. **Resource Allocation**
 - Unequal Distribution: States often argue that they do not receive a fair share of resources, leading to fiscal imbalances. Disparities in financial allocations can impact state development and autonomy.
 - Revenue-Sharing Mechanisms: The mechanisms for sharing central revenues with states can sometimes be seen as inequitable, contributing to regional disparities.
- 2. Inter-State Disputes
 - River Water Sharing: Conflicts over water resources, such as the Cauvery, Krishna, and Godavari disputes, highlight tensions between states.
 - * Boundary Disputes: Disputes over state boundaries can lead to legal and administrative challenges.
 - Distribution of Assets: Issues related to the division of assets between states, particularly during state reorganization, can cause conflicts.
- 3. Centralization of Power
 - Encroachment on State Jurisdiction: There is a perceived trend of centralization where the central government intervenes in areas that should be within state jurisdiction, limiting state autonomy.
 - Increased Central Authority: Legislative and administrative measures sometimes shift powers away from states to the center.
- 4. Concurrent List Ambiguity
 - Overlapping Jurisdiction: The Concurrent List, which includes subjects on which both the center and states can legislate, can lead to conflicts and legal disputes over jurisdiction and implementation.

5. Financial Dependence

 Grants and Allocations: States often rely on central grants and allocations, affecting their fiscal independence. This dependency can impact states' ability to make independent financial decisions.

6. Political Instability

Frequent Changes in Government: Changes in state governments, particularly in coalition scenarios, can lead to policy instability and affect governance continuity.

7. Regional Disparities

 Development Gaps: Regional disparities in development levels necessitate efforts to ensure equitable growth and address developmental imbalances across states.

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8. Language and Cultural Diversity

- Language Policies: Managing India's linguistic diversity and ensuring that language policies accommodate various regional languages and cultures can be challenging.
- Cultural Preservation: Balancing the interests of different ethnic and cultural groups while promoting national integration is a complex task.

9. National Security

Coordination Challenges: Matters related to national security, defense, and foreign policy primarily fall under central jurisdiction, necessitating effective coordination with states, especially during crises.

10. Center-State Relations

- Disagreements: Disputes between the central government and state governments, particularly those led by opposition parties, can impact the functioning of the federal structure.
- Political Conflicts: Tensions between central and state governments often reflect broader political conflicts.

11. Overlapping Jurisdictions

Regulatory Authorities: The presence of multiple statutory bodies and regulatory authorities at both central and state levels can lead to overlapping jurisdictions and implementation confusion.

12. Disaster Management

Coordination: Effective disaster management requires robust coordination between the center and states. Ensuring timely and efficient response mechanisms can be challenging.

Mechanisms and Agencies Controlling Centre-State Relations

- 1. **Governor**: Acts as the representative of the President in the states and has various discretionary powers, such as dissolving the legislative assembly or recommending President's Rule.
- 2. Directions to the State Government: The central government can issue directions to state governments on matters of national importance.
- 3. **Delegation of Union Functions**: The central government can delegate certain functions to states, impacting how policies are implemented.
- 4. All-India Services: These services, such as the IAS, IPS, and IFS, operate across both central and state governments, ensuring uniformity in administration.
- 5. Grants-in-Aid: Central grants to states for specific purposes can influence state finances and development priorities.
- 6. **Inter-State Councils**: Established under Article 263 of the Constitution to address inter-state disputes and promote cooperation between states and the center.
- 7. Inter-State Commerce Commission: Proposed under Article 307 to regulate and develop inter-state trade and commerce.
- 8. **Immunity from Mutual Taxation**: States and the central government are immune from taxing each other's goods and services, promoting seamless commerce across state boundaries.

Major Issues and Concerns

- 1. **Role of the Governor**: The discretionary powers and appointment process of the Governor can lead to conflicts and perceptions of bias.
- 2. **Reservation of Bills for President**: The use of this provision can sometimes serve partisan interests rather than national or state interests.
- 3. **Misuse of Article 356**: The imposition of President's Rule has been criticized for being used inappropriately, undermining state autonomy.
- 4. Law and Order Maintenance: The central government's role in maintaining law and order can lead to friction with state governments.

AWSM NOTES

- 5. Encroachment on State List: The central government's involvement in areas reserved for states can create conflicts.
- 6. **Financial Weakness of States**: Financial dependencies on the central government can impact state autonomy and development.
- 7. Taxation Powers: Disputes over taxation powers and revenue-sharing between the center and states.
- 8. Issue of Grants: Disputes over the allocation and utilization of central grants can affect state development.
- 9. Role of Planning Commission: The role of the Planning Commission (now replaced by NITI Aayog) in state planning and development has been debated.
- 10. Autonomy Issues: The balance between central control and state autonomy remains a contentious issue.

Way Forward

- Resource Allocation Reforms: Ensure a fair distribution of resources and refine revenue-sharing mechanisms to address fiscal imbalances.
- Effective Dispute Resolution: Strengthen legal and institutional mechanisms to resolve inter-state disputes efficiently.
- > Decentralization: Encourage decentralization and respect state autonomy while ensuring effective central oversight.
- Clear Jurisdictional Boundaries: Define and manage overlapping jurisdictions to reduce conflicts and enhance governance.
- Financial Independence: Empower states to have greater fiscal independence and reduce dependence on central grants.
- Political Stability: Promote political stability and effective governance at the state level to ensure consistent policy implementation.
- Inclusive Development: Address regional disparities through targeted development policies and equitable distribution of resources.
- Cultural and Linguistic Harmony: Develop policies that respect and promote India's linguistic and cultural diversity.
- Disaster Management Coordination: Improve coordination between central and state governments in disaster management and emergency response.

Addressing these issues through constitutional reforms, institutional strengthening, and enhanced cooperation between the center and states will be crucial in maintaining a balanced and effective federal structure in India.

Competitive vs. Cooperative Approaches

India's federal structure involves both competitive and cooperative elements, each playing a crucial role in shaping the country's governance and development. Here's a detailed exploration of these concepts and their implications:

Competitive Federalism

Definition: Competitive federalism refers to the competition between states and between central and regional governments. This competition aims to enhance efficiency, drive reforms, and attract investment by creating a more dynamic and competitive environment.

Key Aspects:

- 1. **State-Level Competition**: States compete to improve their business environments, attract investments, and expedite project clearances. Examples include states hosting vibrant summits and easing compliance laws to attract foreign direct investment (FDI).
- 2. Central Government Role: The central government sets broad rules while states compete to meet these rules effectively, aiming to attract more funds and investments. The central government often allocates funds based on the states' performance and efficient use of previous allocations.
- 3. **Resource Allocation**: Funds and investments are directed to states that demonstrate optimal use of previously allocated resources. This encourages efficient utilization and reduces wastage.

AWSM NOTES

4. **Industry Perspective**: Competitive federalism is welcomed by industries as it leads to diverse investment destinations and can result in job creation and economic growth.

Recent Initiatives:

- Development Rankings: States are ranked on various parameters like ease of doing business, Swachh Bharat rankings, and smart city selections.
- > State Branding: States like Andhra Pradesh have promoted themselves internationally to attract business.
- > Financial Freedom: Increased autonomy in planning expenditure for states.

Critical Analysis:

- 1. **Regional Disparities**: Competitive federalism may exacerbate inequalities as states with better infrastructure and resources may benefit more, leaving less developed regions behind.
- 2. **Negative Externalities**: Intense competition might lead to adverse effects such as tribal displacement or increased pollution, as states push for rapid development.
- 3. **Ranking Bias**: Central government frameworks for ranking states have faced criticism for potential biases and inaccuracies.
- 4. **Institutional Mechanisms**: There is a need for effective institutional mechanisms to ensure that important decisions are made with due consideration of state interests.

Cooperative Federalism

Definition: Cooperative federalism emphasizes collaboration between central and state governments to address common issues and achieve shared goals. It involves joint efforts and mutual support to resolve problems and promote national development.

Key Aspects:

- 1. **Separation of Powers**: The Constitution, through Schedule 7, clearly delineates the powers between the center and states, ensuring a balanced distribution of responsibilities (except during emergencies, which are subject to judicial review).
- 2. Supreme Court Jurisdiction: Article 131 provides the Supreme Court with exclusive jurisdiction to resolve disputes between states and the center, promoting legal resolution of conflicts.
- 3. **Coalition Governments**: The prevalence of coalition governments has increased states' bargaining power and necessitated cooperation between central and state governments.
- 4. **GST Council**: The GST Council, which includes both central and state representatives, has made decisions based on consensus, reflecting cooperative efforts in tax administration.
- 5. Devolution of Funds: The allocation of resources to states has increased over time, with the 14th Finance Commission devolving 42% of central taxes to states.
- 6. **NITI Aayog:** Replacing the Planning Commission, NITI Aayog promotes a bottom-up approach to development, fostering cooperative planning.
- 7. **Inclusive Development**: Initiatives like "Sabka Saath Sabka Vikas" emphasize states as equal partners in national development.

Critical Analysis:

- 1. **Trust Deficit**: Issues like trust deficit and shrinking divisible pools hinder effective cooperation between center and states.
- 2. **Revenue Allocation**: Despite increased devolution, states may still face reductions in their share of tax resources, as seen with the 15th Finance Commission recommendations.
- 3. Social Welfare Funding: Decreased allocations for social welfare schemes impact state capabilities in managing health and other essential services.
- 4. Inter-State Disputes: Complex issues like water disputes require cooperative efforts from all stakeholders,

AWSM NOTES

including the center and riparian states.

Way Forward

- 1. **Balancing Approaches**: India's federalism should integrate both competitive and cooperative elements to harness the benefits of each while mitigating their drawbacks.
- 2. Enhanced Cooperation: While competitive federalism drives dynamism, cooperative federalism ensures balance and integration. Both approaches should work in tandem to promote inclusive and equitable growth.
- 3. **Constitutional Adaptation**: The Constitution may need to evolve to reflect economic realities, favoring integration over granting sovereignty to enhance national cohesion.
- 4. **GST Implementation**: The introduction of GST as "pooled sovereignty" reflects a move towards greater economic unification and collaborative fiscal management.

Conclusion: The interplay of competitive and cooperative federalism is crucial for India's development. Effective governance requires a balance between fostering healthy competition and ensuring robust cooperation. Establishing institutional mechanisms to discuss and resolve important decisions collaboratively will help in achieving comprehensive and inclusive development, ensuring that no state is left behind in the progress narrative.

Challenges Faced by Local Governments After the 73rd and 74th Constitutional Amendments

The 73rd and 74th Constitutional Amendments of 1992 were significant milestones in the enhancement of local selfgovernance in India. These amendments established a framework for Panchayats in rural areas and Municipalities in urban areas, aimed at decentralizing power and improving local governance. However, despite these reforms, local governments continue to face several challenges:

1. Financial Dependence

- Central and State Reliance: Local governments are heavily dependent on financial support from central and state governments. This reliance can restrict their ability to make independent financial decisions and implement local projects effectively.
- Insufficient Grants: The grants and transfers from higher levels of government are often inadequate to meet the growing needs of local areas.
- 2. Administrative Disparities: There is a significant variation in the administrative capacity and efficiency of local governments across different states and regions. This disparity affects the quality and consistency of service delivery.
- 3. Autonomy Challenges: Local bodies frequently face interference from state and central governments, which can undermine their autonomy and hinder effective decision-making and implementation of local policies.
- 4. **Revenue Constraints**: Local governments primarily rely on property taxes and local fees for revenue. Expanding revenue sources is difficult due to taxpayer resistance and limited legal provisions.
- 5. Capacity Gaps: Many local bodies lack the necessary technical expertise and trained personnel to plan, execute, and manage development projects efficiently.
- 6. **Governance Issues**: Corruption and mismanagement at the local level can severely impact the delivery of public services and infrastructure development, diminishing public trust in local governments.
- 7. **Marginalized Groups**: Ensuring equitable representation for marginalized communities, women, and other disadvantaged groups remains a challenge in many local bodies.
- 8. **Citizen Engagement**: Active citizen participation in local governance is often low. Many residents are disengaged from local political processes, affecting the responsiveness and effectiveness of local governments.
- 9. Urban-Rural Interface: Coordination between rural and urban local bodies can be difficult, particularly in rapidly urbanizing areas where jurisdictional boundaries and responsibilities overlap.
- 10. Administrative Confusion: Overlaps in jurisdiction and responsibilities between panchayats, municipalities, and state government departments can lead to confusion and inefficiencies in governance.
- 11. **Role Clarity**: Ambiguities in laws and regulations concerning the roles and responsibilities of local governments can lead to disputes and hinder effective functioning.
- 12. Non-Equitable Distribution: The allocation of resources to local governments is not always equitable, which can

AWSM NOTES

result in unequal development and hinder local progress.

- 13. **Training Needs**: There is an ongoing need for capacity-building programs to enhance the skills and effectiveness of local elected representatives and officials in governance, planning, and project implementation.
- 14. **Resource Constraints**: Local governments often face challenges related to inadequate infrastructure and resources, limiting their ability to provide essential services like water supply, sanitation, and healthcare.

Efforts to Address Challenges

To address these challenges, several initiatives are being undertaken:

- 1. **Capacity-Building Programs**: Training and development programs are being implemented to enhance the skills of local officials and representatives.
- 2. **Financial Reforms**: Efforts are being made to improve financial autonomy through reforms in fiscal transfers and local revenue generation.
- 3. **Strengthening Institutions**: Enhancing the institutional framework to clarify roles, responsibilities, and coordination mechanisms between various levels of government.
- 4. **Promoting Community Participation**: Encouraging greater public involvement and engagement in local governance processes to ensure more responsive and accountable local administrations.
- 5. Legal and Administrative Reforms: Addressing legal ambiguities and improving administrative practices to streamline governance and reduce inefficiencies.

The continued success of local governance in India hinges on the effective implementation of these measures and ongoing support for local governments to fulfill their roles and responsibilities effectively.

Representation of the People Act, 1950 (RPA 1950)

Overview

The Representation of the People Act, 1950, enacted by the Indian Parliament, governs the electoral system at both the national and state levels. Its primary purpose is to address the allocation of seats, delimitation of constituencies, qualifications and disqualifications of voters, and the preparation of electoral rolls.

Key Provisions

- 1. Allocation of Seats:
 - First Schedule: Details the allocation of seats to the States in the Lok Sabha and reserves seats for Scheduled Castes and Scheduled Tribes.
 - Second Schedule: Specifies the total number of seats in each State's Legislative Assembly.
 - * Third Schedule: Addresses the allocation of seats in the Legislative Councils of States.
 - * Fourth Schedule: Deals with local authorities for elections to Legislative Councils.

Method of Election: All Lok Sabha seats are filled through direct elections from parliamentary constituencies in the States.

2. **Delimitation**:

- **Definition**: Delimitation refers to the process of defining the boundaries of electoral constituencies.
- Delimitation Commission: A high-power body responsible for redrawing constituency boundaries based on census data. Its orders have the force of law and are not subject to judicial review. The Delimitation Commissions have been constituted in 1952, 1963, 1973, and 2002.
- Role: The Delimitation Commission's primary function is to ensure fair representation by redrawing the boundaries of parliamentary and assembly constituencies.
- Electoral Order: The Election Commission consolidates these delimitation orders into a single document known as the delimitation of parliamentary and assembly constituencies order.

AWSM NOTES

Relevant Articles:

- Article 82: Mandates the reallocation of Lok Sabha seats following each census.
- > Article 170: Requires the readjustment of State Legislative Assemblies following each census.

3. Voter Qualifications:

Eligibility: A person must be a citizen of India, at least 18 years old on the qualifying date, and ordinarily resident in a constituency to be registered as a voter.

✤ Disqualifications:

- ♦ Non-citizens and individuals declared of unsound mind by a competent court.
- ✤ Individuals disqualified under laws related to electoral corruption or offenses.
- No person should be registered in more than one constituency or more than once in a constituency.
- 4. Electoral Offices:
 - ✤ Chief Electoral Officer (CEO):
 - Appointed for each state, responsible for supervising electoral roll preparation, monitoring compliance with the Model Code of Conduct, and ensuring election integrity.
 - District Election Officer:
 - Appointed by the Election Commission, responsible for coordinating electoral activities within the district.
 - **Content** Selectoral Registration Officer:
 - Designated to prepare and revise the electoral roll for constituencies.
 - Returning Officer:
 - Manages the election process within a constituency, including receiving and scrutinizing nomination papers.
- 5. Electoral Roll:
 - **Definition**: A list of eligible voters in a constituency.
 - Preparation: Conducted under the supervision of the Election Commission, with rolls for parliamentary constituencies comprising all assembly constituencies under it.
 - Common Electoral Roll: A single voter list for all elections, including Lok Sabha, State Legislative Assembly, and local body elections.

The Election Laws (Amendment) Bill 2021

- > Linking with Aadhaar: Mandates the provision of Aadhaar numbers for voter registration to verify identity.
- > Qualifying Dates: Introduces four qualifying dates for voter enrollment annually.
- > Requisitioning Premises: Expands the reasons for which premises can be requisitioned for election purposes.
- Gender-Neutral Provisions: Replaces 'wife' with 'spouse' in the Representation of the People Acts, 1950 and 1951.

Judiciary's Role

Jurisdiction: Civil courts cannot adjudicate on voter registration issues or legality of actions taken by electoral officers. Only the Election Commission or the Chief Electoral Officer can initiate proceedings regarding offenses related to electoral rolls.

Representation of the People Act, 1951 (RPA 1951)

Overview

The Representation of the People Act, 1951, governs the conduct of elections to the Houses of Parliament and State Legislatures, outlines qualifications and disqualifications for membership, and addresses corrupt practices and election-related disputes.

AWSM NOTES

Key Provisions

- > Conduct of Elections: Regulates the conduct of elections to Parliament and State Legislatures.
- > Qualifications and Disqualifications: Details the criteria for being a member and the grounds for disqualification.
- Corrupt Practices: Defines corrupt practices, including bribery, undue influence, false information, and promoting enmity based on religion, race, caste, community, or language.
- > Corrupt Practices Sections:
 - Section 123: Defines corrupt practices such as bribery and undue influence.
 - Section 123(2): Addresses undue influence, including threats or coercion.
 - Section 123(4): Covers intentional publication of false statements to prejudice election outcomes.
- Disqualification: Elected representatives can be disqualified for certain offenses, corrupt practices, failing to declare election expenses, or interests in government contracts.

Court Rulings

- 2017 Supreme Court Ruling: Held that seeking votes based on religion, race, caste, community, or language invalidates an election.
- 2022 Supreme Court Review: Revisited the 2013 judgment on promises of freebies, which were previously not considered corrupt practices. The matter is under reconsideration.

This comprehensive overview outlines the fundamental aspects of the Representation of the People Acts, their amendments, and the judicial context surrounding electoral practices in India.

Anti-Defection Law: An Overview

Introduction

The Anti-Defection Law, introduced in 1985 through the 52nd Amendment Act, is a critical component of Indian constitutional law. It was incorporated into the Tenth Schedule of the Indian Constitution and is commonly known as the Anti-Defection Act.

Objectives

- 1. **Purpose**: The Anti-Defection Law aims to curb political defections, which involve legislators abandoning their party allegiance or duties, often for personal gain or political advantage.
- 2. Scope: The law applies to both Parliament and state assemblies.

Key Provisions

- 1. **Disqualification Process**: The law establishes the procedure for disqualifying legislators based on defection. The presiding officer of the legislature is empowered to disqualify a member if defection is proven.
- 2. **Purpose**: It seeks to prevent legislators from changing their political affiliations during their tenure, thereby ensuring stability and reducing the potential for government instability.
- 3. Amendment: In 2003, the 91st Amendment Act enhanced the law's effectiveness by addressing issues related to frequent defections.

Significance

- 1. **Prevention of Opportunism**: It deters defections motivated by the promise of office or material benefits.
- 2. Party Discipline: It ensures that legislators adhere to the party whip, maintaining party unity and discipline.
- 3. Stability: It helps maintain stability within the party system and prevents government destabilization.
- 4. Corruption Control: The law strengthens democratic institutions and helps combat corruption.
- 5. **Party Mergers**: It allows for the merger of political parties without disqualifying their members, provided certain conditions are met.

AWSM NOTES

Grounds for Defection

- 1. **Voluntary Abandonment**: A legislator may be deemed to have defected if they voluntarily give up their membership, which can be inferred from their conduct, even without a formal resignation. For example, in 2017, two members of the Janata Dal (United) were disqualified for criticizing their party and attending opposition rallies.
- 2. **Violation of Party Whip**: A legislator is disqualified if they vote or abstain from voting contrary to the party's directions, commonly known as the party whip.
- 3. **Joining a Party**: Independently elected legislators who join a political party or nominated members who join a party after six months from their nomination are subject to disqualification.
- 4. Judicial Review: The presiding officer's decision on disqualification is subject to judicial review.

Exceptions

- 1. **Party Mergers**: If at least two-thirds of a party's members approve, the party can merge with another party without facing disqualification.
- 2. Legislative Officers: The Speaker, Chairman, and Deputy Chairman of the legislature are exempt from disqualification on the grounds of defection.

Criticisms

- 1. **Party Dictates**: Critics argue that the law forces MPs and MLAs to follow party directions without the freedom to vote based on personal judgment.
- 2. Accountability Issues: The law has been criticized for breaking the chain of accountability by making legislators accountable primarily to their political party.

Suggestions for Improvement

- 1. **Decision Authority**: Expert committees suggest that decisions on disqualification should be made by the President for Parliament members and by the Governor for State Assembly members, based on the Election Commission's advice.
- 2. **Independent Authority**: Some advocate for an independent authority to handle disqualification cases, given the Speaker's reliance on party majority, as noted in the Hollohan Judgment.
- 3. Scope of Application: The law could be applied selectively, such as in cases of no-confidence motions or annual budgets, to preserve government stability.
- 4. **Intra-Party Democracy**: The 170th Law Commission Report suggests promoting intra-party democracy to prevent dictatorship within parties.
- 5. **External Tribunal**: Justice Rohinton Nariman, in the case of Keisham Meghachandra v. the Hon'ble Speaker Manipur, proposed establishing an external tribunal, led by a retired Supreme Court Judge or a retired Chief Justice of a High Court, to handle defection cases more efficiently.

Conclusion

The Anti-Defection Law plays a crucial role in maintaining political stability and discipline. However, it faces criticism and suggestions for reforms aimed at enhancing its effectiveness and fairness in the parliamentary system.

Election Commission of India

The constitutional mandate to conduct free and fair elections in India is crucial to upholding the principles of democracy. This mandate is primarily enshrined in Part XV (Articles 324-329) of the Indian Constitution, which empowers the Election Commission of India (ECI) to oversee and administer elections. While the framework is robust, several challenges persist that affect the execution of this mandate.

AWSM NOTES

Ensuring Free and Fair Elections

- 1. Model Code of Conduct (MCC): The ECI enforces the Model Code of Conduct before elections to ensure that candidates and political parties adhere to fair practices. Violations are penalized, including threats of derecognition for parties failing to maintain internal democracy.
- 2. Constitutional Values: The ECI upholds constitutional values such as equality, impartiality, and rule of law in its supervision and management of elections.
- 3. High Standards: The Commission strives to maintain credibility, transparency, and professionalism in the electoral process.
- **4.** Voter Participation: Through initiatives like the Systematic Voters' Education and Electoral Participation (SVEEP) program, the ECI promotes inclusive and ethical voter participation.
- 5. Observers: The ECI appoints senior officers as observers to oversee the conduct of elections and ensure proper implementation of electoral norms.

Challenges

- 1. Misuse of Government Machinery: There are instances where government resources, such as public advertisements, discretionary funds, and government vehicles, are misused for electoral gains, undermining the fairness of elections.
- 2. Distortion of Voter Decision-Making: Populist promises and irrational freebies can sway voters, particularly those from disadvantaged backgrounds, impacting their ability to make informed choices.
- 3. Lack of Independent Staff: The ECI relies on Central and State Government staff for election duties, which can create challenges in ensuring complete neutrality and independence.
- 4. Politicisation of Social Media: Social media platforms, while essential for democratic discourse, can create echo chambers and amplify divisive content. This politicisation can distort public opinion and influence election outcomes.
- 5. Enforcing Model Code of Conduct (MCC): The ECI lacks statutory backing to enforce the MCC strictly, leading to challenges in implementing and upholding its provisions effectively.
- 6. Fake News and Misinformation: The spread of fake news and misinformation on social media can polarize voters and create unrest, impacting the electoral process and fairness.
- 7. Use of Bots: Bots and automated accounts can manipulate social media trends, creating an artificial perception of public opinion and influencing electoral outcomes unfairly.

Conclusion

The Election Commission of India's powers are extensive, encompassing almost all aspects of the electoral process. However, effective execution of these powers depends on the integrity and will of the responsible officials. While the ECI has the tools to ensure free and fair elections, overcoming the challenges of misuse, misinformation, and institutional limitations is essential for maintaining the democratic process's credibility and integrity.

Current Topics

One Nation, One Election

The concept of "One Nation, One Election" (ONOE) aims to synchronize elections for the Lok Sabha (the lower house of India's Parliament) and all state legislative assemblies. This would involve holding these elections simultaneously, either on a single day or within a specific time frame.

Committee on One Nation, One Election

The Indian government has established a committee led by former President Ram Nath Kovind to explore the feasibility of implementing ONOE. This move highlights the government's commitment to the concept, particularly as assembly elections in five states are due in late 2023, followed by Lok Sabha elections expected in mid-2024.

AWSM NOTES

Constitutional Amendments Required

To align the terms of state legislative assemblies with that of the Lok Sabha, several constitutional amendments would be necessary:

- > Article 83: Defines the five-year term for the Lok Sabha.
- > Article 85: Grants the President the power to dissolve the Lok Sabha.
- > Article 172: Sets the five-year term for state legislative assemblies.
- > Article 174: Authorizes the Governor to dissolve the state legislative assembly.
- > Article 356: Allows the Central Government to impose President's Rule in case of constitutional failure in a state.
- Representation of the People Act: Amendments to this Act and related parliamentary procedures will also be required.

Pros of One Nation, One Election

- 1. Cost Reduction:
 - ✤ Historical Context: In the first Lok Sabha elections of 1951-52, 53 parties contested with 1,874 candidates, and the cost was around □11 crore. By 2019, costs surged to approximately □60,000 crore with 610 parties and 9,000 candidates.
 - Efficiency: Simultaneous elections could significantly reduce the financial burden of conducting separate elections.

2. Administrative and Security Efficiency:

Holding elections simultaneously would reduce the frequency of election-related duties for administrative and security forces, leading to better resource allocation and efficiency.

3. Governance Focus:

Fewer elections would mean less disruption in governance, allowing governments to focus more on policy implementation rather than constant electoral preparation.

4. Increased Voter Turnout:

- The Law Commission suggests that simultaneous elections might boost voter turnout by simplifying the voting process, allowing voters to cast multiple ballots at once.
- 5. Extended Preparation Time:
 - Conducting elections once every five years would provide more time for preparation for all stakeholders, including political parties, the Election Commission of India (ECI), security forces, and the public.

Cons of One Nation, One Election

1. Constitutional and Legal Challenges:

- Implementing ONOE would require significant constitutional amendments and changes to existing legal frameworks, including state assemblies' approval.
- 2. Historical Context and Feasibility:
 - While ONOE was practiced in the 1950s and 1960s when India had fewer states and a smaller population, the current political and logistical environment is more complex.

3. Political Instability:

If a government falls before completing its term, it could trigger nationwide elections, creating potential instability and the need for frequent adjustments in terms.

4. Increased Requirements for EVMs and VVPATs:

The need for more electronic voting machines (EVMs) and voter verifiable paper audit trails (VVPATs)

AWSM NOTES

would double, as separate sets are required for Lok Sabha and state assembly elections.

5. Security and Logistical Challenges:

Enhanced security measures and logistical arrangements would be necessary, including additional polling staff and transportation of materials.

6. Potential Overshadowing of Regional Issues:

National issues might overshadow regional concerns, potentially affecting state-level electoral outcomes.

7. Political Consensus:

Achieving agreement among all political parties is crucial and challenging. Opposition parties have expressed concerns about ONOE, adding complexity to the process.

Conclusion

The "One Nation, One Election" proposal presents both significant advantages and challenges. While it promises cost savings and administrative efficiencies, it also requires extensive constitutional changes, logistical planning, and political consensus. The feasibility and impact of this proposal will depend on careful consideration and successful navigation of these complex issues.

Uniform Civil Code (UCC)

The Uniform Civil Code (UCC) aims to establish a common set of laws governing personal matters for all citizens of India, regardless of their religion. This includes areas such as marriage, divorce, inheritance, and adoption. Article 44 of the Indian Constitution outlines that the state should strive to implement a UCC.

Current Status of UCC in India

1. Existing Uniformity in Civil Matters:

- India already has uniform laws in various civil matters, such as the Indian Contract Act, Civil Procedure Code, Transfer of Property Act, and others.
- 2. Diversity in Personal Laws:
 - Despite some uniformity, significant diversity exists in personal laws, with states making various amendments to uniform laws, such as the Motor Vehicles Act, 2019, which some states have opted out of.

3. Goa's Implementation:

• Goa is the only state in India where a form of UCC has been fully implemented.

Law Commission's Views

1. 2018 Law Commission Report:

- The 21st Law Commission stated that UCC was neither necessary nor desirable at that time, suggesting that reforms in personal laws should be pursued through amendments rather than through a comprehensive UCC.
- 2. Recent Developments:
 - The 22nd Law Commission of India is seeking public opinion and suggestions from recognized religious organizations regarding the UCC.

Constituent Assembly's Perspective

- 1. Debates and Decisions:
 - During the Constituent Assembly debates, there was significant discussion on UCC. The sub-committee, led by Sardar Vallabhbhai Patel, decided against including UCC as a fundamental right, favoring its inclusion in the Directive Principles of State Policy (Article 44).

AWSM NOTES

2. Dr. B.R. Ambedkar's View:

Dr. Ambedkar saw UCC as desirable but recommended it should remain voluntary until the nation was socially ready to accept it.

Recent Developments

1. Prime Minister's Support:

The Prime Minister has advocated for UCC, arguing that a system of separate laws for different communities undermines national efficiency.

2. Uttarakhand Expert Committee:

Uttarakhand has formed an expert committee led by a retired Supreme Court judge to review and implement UCC.

3. Allahabad High Court:

* The Allahabad High Court has urged the Central Government to initiate the process for implementing UCC.

Need for UCC

1. Constitutional Values:

UCC would uphold values of equality, fraternity, and dignity for all citizens.

2. Equal Treatment:

It would ensure equal treatment of all citizens and eliminate government sponsorship or regulation of religious practices.

3. Reduction of Religious Divide:

 Implementing UCC would help bridge religious divides and provide protection to vulnerable groups, ensuring gender justice and simplifying legal processes.

4. National Integration:

✤ A common legal framework could foster national integration and secularism by reducing communal and sectarian conflicts.

5. Gender Justice:

◆ UCC would address gender inequalities in personal laws, ensuring equal rights and status for women.

6. Legal Simplification:

• It would streamline and rationalize the legal system, eliminating inconsistencies and outdated practices.

7. Modernization:

• UCC would reform regressive practices and align laws with human rights and constitutional values.

Challenges in Adoption

- 1. Political Inertia:
 - * There is a lack of consistent political commitment to UCC, as it may alienate certain voter bases.
- 2. Lack of Consensus:
 - No consensus exists on the scope and content of UCC among different political parties and stakeholders.

3. Awareness and Education:

Misinformation and lack of awareness about UCC can hinder acceptance.

AWSM NOTES

4. Concept of Secularism:

The Indian concept of secularism values religious diversity, which may conflict with a uniform legal framework.

5. Minority Concerns:

Some minorities fear that UCC will undermine their religious practices and impose majority norms on them.

6. Communal Politics:

The debate around UCC is often framed in the context of communal politics, complicating its adoption.

7. Constitutional Hurdles:

Conflicts between UCC and constitutional provisions like Article 25 (freedom of religion) present legal challenges.

Way Forward

1. Legal Reforms:

Harmonizing personal laws into a single code would require extensive legal reforms and could lead to increased constitutional challenges.

2. Building Trust:

Building trust and engaging with social reformers rather than just religious conservatives will be crucial for the success of UCC.

3. Codification of Personal Laws:

Comprehensive codification of personal laws is essential to highlight and address prejudices and ensure alignment with constitutional rights.

Implementing UCC would be a complex and sensitive process involving significant legal, political, and social considerations. It requires a careful balance between uniformity and the respect for diverse religious practices.

Supreme Court Verdict on Sub-Classification of SCs and STs

The Supreme Court of India has delivered a landmark verdict allowing states to sub-classify Scheduled Castes (SCs) and Scheduled Tribes (STs) for the purpose of reservations. This decision, which overturns the 2004 ruling in E.V. Chinnaiah vs. State of Andhra Pradesh, significantly impacts reservation policies in India.

Key Points of the Supreme Court's Verdict

1. Sub-Classifications Permitted:

- * The Court ruled that states can sub-classify SCs and STs based on varying levels of backwardness.
- States are allowed to create sub-categories within the SC and ST groups to provide better support to the most disadvantaged.

2. Clarification on Terms:

- Chief Justice of India emphasized the distinction between "sub-classification" and "sub-categorisation," warning against using these classifications for political reasons rather than genuine upliftment.
- Sub-classification should be grounded in empirical data and historical evidence of systemic discrimination, not arbitrary or political motives.

3. Restrictions and Oversight:

- ✤ 100% reservation for any sub-class is not permissible.
- Decisions on sub-classification are subject to judicial review to prevent misuse.
- * The 'creamy layer' principle, previously applied to Other Backward Classes (OBCs), is now also applicable

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AWSM NOTES

to SCs and STs. This means that the more advantaged members within these groups should be excluded from the benefits of reservations.

Reservations should be limited to the first generation; benefits should not extend to the second generation if the first has achieved higher status.

4. Rationale:

The ruling acknowledges that systemic discrimination prevents some members of SCs and STs from advancing. Sub-classification under Article 14 can help address these disparities more effectively.

Background of the Issue

1. Reference to Seven-Judge Bench:

- The issue of sub-classification was referred to a seven-judge bench following the case of State of Punjab v. Davinder Singh (2020).
- The reference was prompted by the need to reconsider the E.V. Chinnaiah judgment, which had previously held that SCs and STs could not be sub-classified.

2. Legal Challenges:

- The Punjab Scheduled Caste and Backward Classes (Reservation in Services) Act, 2006 was challenged for its provision on sub-classification.
- A division bench of the Punjab and Haryana High Court struck down this provision, citing the E.V. Chinnaiah ruling.

3. **Previous Judgment**:

The E.V. Chinnaiah judgment established that SCs, being a homogeneous group under Article 341, could not be subdivided further for reservation purposes.

Arguments For and Against Sub-Classification

Arguments For Sub-Classification:

- 1. Enhanced Flexibility:
 - Allows better targeting of policies to address the needs of the most disadvantaged within SC/ST communities.
- 2. Alignment with Social Justice:
 - Helps achieve social justice by providing targeted benefits to those who need them most.
- 3. Constitutional Provisions:
 - Article 16(4) allows reservations for backward classes in state services.
 - Article 15(4) and Article 342A empower states to create special arrangements and maintain lists of backward classes.

Arguments Against Sub-Classification:

1. Homogeneity of SCs and STs:

Critics argue that sub-classification undermines the uniform status of SCs and STs as recognized in the Presidential list.

2. Potential for Inequality:

Sub-classification may exacerbate inequalities within the SC/ST communities.

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AWSM NOTES

Significance of the Verdict

1. Overruling Previous Judgment:

The decision reverses the E.V. Chinnaiah ruling and allows states to create sub-categories within SC and ST groups.

2. Impact on State Laws:

- The ruling upholds various state laws that had previously been struck down, such as those in Punjab and Tamil Nadu.
- 3. Future of Reservations:
 - States can now implement sub-classification policies, potentially leading to more nuanced and effective reservation strategies.

Challenges for Sub-Classification

1. Data Collection and Evidence:

- Accurate and comprehensive data on socio-economic conditions of sub-groups is crucial.
- ✤ Avoiding biases and ensuring data accuracy is challenging.

2. Balancing Interests:

- * Balancing the interests of different sub-groups while ensuring overall fairness can be complex.
- 3. Uniformity vs. Diversity:
 - Managing variations across states while addressing local needs is a challenge.

4. Political Resistance:

- Policies may face opposition from political groups, leading to potential delays and conflicts.
- 5. Social Tensions:
 - Sub-classification might exacerbate intra-community conflicts and divisions.
- 6. Administrative Burden:
 - Creating and managing sub-categories adds administrative complexity and requires additional resources.

Way Forward

- 1. Historical and Socio-Economic Considerations:
 - States should consider historical discrimination, economic disparities, and social factors.
 - ✤ Ensure fairness by avoiding political motivations.
- 2. Data Collection:
 - Utilize the upcoming Census for comprehensive data on SCs and STs, including sub-groups.
- 3. Independent Verification:
 - Establish independent processes for data verification to maintain transparency.
- 4. Objective Criteria:
 - ✤ Define clear and objective criteria for sub-classification based on socio-economic indicators.
- Monitoring and Adjustment:
 - Monitor the impact of sub-classification policies and adjust based on outcomes.

AWSM NOTES

5. Focus on Overall Development:

Recognize sub-classification as a temporary measure and focus on broader socio-economic development and empowerment of SCs and STs.

The Supreme Court's decision marks a significant shift in the reservation landscape, aiming to provide more nuanced support to the most disadvantaged within SC and ST communities while addressing challenges and potential pitfalls.